



**HIGH-VALUE FRUIT BIOMETRIC IDENTIFICATION VIA  
TRIPLET-LOSS TECHNIQUE**

**BY**

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**A THESIS SUBMITTED IN PARTIAL FULFILLMENT OF  
THE REQUIREMENTS FOR THE DEGREE OF  
MASTER OF ENGINEERING (ARTIFICIAL INTELLIGENCE AND INTERNET  
OF THINGS)**

**SIRINDHORN INTERNATIONAL INSTITUTE OF TECHNOLOGY**

**THAMMASAT UNIVERSITY**

**ACADEMIC YEAR 2022**

THAMMASAT UNIVERSITY  
SIRINDHORN INTERNATIONAL INSTITUTE OF TECHNOLOGY

THESIS

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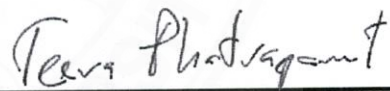
PLAIFAH LAIMEK


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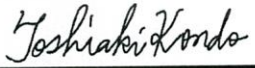
HIGH-VALUE FRUIT BIOMETRIC IDENTIFICATION VIA TRIPLET-LOSS  
TECHNIQUE


was approved as partial fulfillment of the requirements for  
the degree of Master of Engineering (Artificial Intelligence and Internet of Things)


On June 9, 2023

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Academic Years	2022

## ABSTRACT

This thesis proposes a novel method for biometric authentication of fruits based on their distinctive rind patterns, similar to fingerprint identification. Luxury fruits, highly valued in Japan, currently rely on serial numbers, QR codes, and RFID tags for authentication, which can be forged or replicated. By implementing biometric authentication using rind patterns, the trust and value of these fruits can be significantly enhanced, while also preventing fraud and counterfeiting in the agricultural industry. The study introduces a melon identification system that utilizes a convolutional neural network (CNN) with a triplet loss function, enabling accurate identification even with variations in lighting, shadows, and angle. The proposed method overcomes the limitations of previous approaches by capturing important features through CNN's automatic feature identification. This research contributes to the field of agricultural product authentication, providing a secure and reliable method that can be extended to other products, increasing customer trust and market value.

**Keywords:** Agriculture, Authentication, Fruits, Melon, Rind Pattern, Identification, Verification, Computer Vision, Machine learning

## ACKNOWLEDGEMENTS

This thesis was supported by the Thailand Advanced Institute of Science and Technology (TAIST), National Science and Technology Development Agency (NSTDA), Tokyo Institute of Technology, Sirindhorn International Institute of Technology (SIIT), and Thammasat University (TU) under the TAIST Tokyo Tech scholarship program.

Plaifah Laimek



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## CHAPTER 1

### INTRODUCTION

With the increasing attention on artificial intelligence (AI) and its successful implementation in various domains, including culinary, transportation, e-commerce, and security, Biswal (2022) the potential for implementing AI-based technologies in product authentication is immense. The use of machine learning to improve the reliability of digital image authentication has sparked the development of biometric authentication methods, such as fingerprint scanner for personal electronics devices security Stanley et al. (2009) and facial recognition used for online banking Yogalakshmi et al. (2020).

In this thesis, our focus lies on the application of biometric authentication in the agricultural industry, particularly in authenticating fruits based on their unique rind patterns. While biometric identification methods have gained significant attention in various sectors, their utilization in the authentication of luxury fruits remains largely unexplored. In countries like Japan, luxury fruits such as melons, watermelons, grapes, apples, and white strawberries hold immense cultural value and are commonly exchanged as prestigious gifts. Among them, the Yūbari king melon is the most valuable and popular, retail and auctioned prices ranging from \$30 to \$30,000 Kim (2022). The value of these luxury fruits largely stems from the limited supply as they are specifically cultivated with specific process, environment and nutrients. Especially the Yūbari king melon has to be grown in Yūbari city, Hokkaido province, the traceability of the product is highly essential for the consumer confidence and its market value. Although currently, the authentication practices for these luxury fruits heavily rely on a combination of serial numbers, QR codes, and RFID tags Kumar et al. (2009). However, these conventional methods are prone to vulnerabilities, as they can be easily forged or replicated, thus jeopardizing the security and integrity of these valuable products.

To address these limitations and enhance customer trust, we propose a novel approach: biometric authentication of fruits using their rind patterns. The concept draws inspiration from the success of fingerprint identification in human biometrics. By utilizing the uniqueness and intricacy of each fruit's rind pattern, similar to a person's fingerprint, we aim to develop a secure and reliable authentication method that can significantly increase the value and trustworthiness of luxury fruits while deterring fraudulent practices in the agricultural industry.



Previous research on melon identification by Ishiyama et al. (2012) has incorporated minutiae feature extraction, a technique commonly used in fingerprint matching. While these studies have achieved promising results in controlled image acquisition environments, minutiae features are used to identify human fingerprint and may not account for the distinct characteristics of melon rind patterns Jain et al. (2006), which often contain features beyond simple bifurcations. In our research, we aim to overcome this limitation by utilizing a convolutional neural network (CNN) architecture with a triplet loss function. This approach enables the network to automatically identify relevant features in the training process, capturing not only bifurcations but also trifurcations and other unidentified features that contribute to accurate melon pattern matching.



## CHAPTER 2

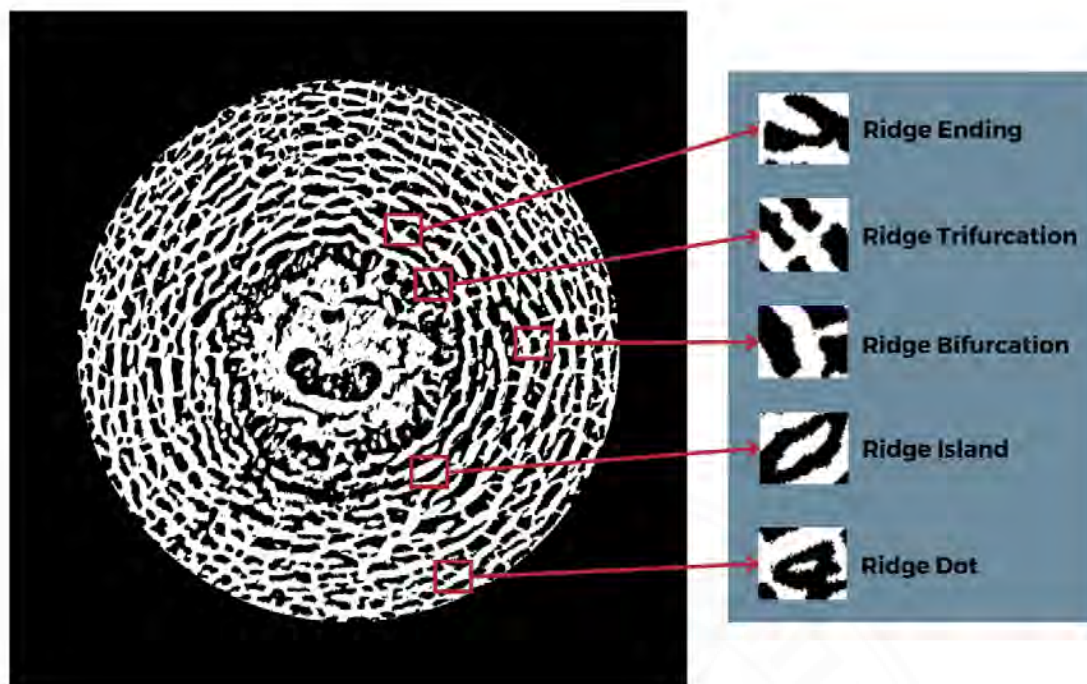
### BACKGROUND KNOWLEDGE

The research community has given limited attention of the topic of agricultural product authentication. However, a notable study conducted by Ishiyama Rui in 2012 Ishiyama et al. (2012) which not only proved the viability of minutiae features recognition on melon rind pattern but this study also implemented the pose-normalization technique. As the image data of each melon was acquired using a handheld camera, each melon stem may not be centered in the middle of the image. These variations of melon angles can reduce the matching accuracy, though it can be negated using the pose-normalization technique.

Minutiae extraction techniques typically focus on specific features prominent in fingerprints, such as terminations, ridges, and bifurcations Ali et al. (2016) and Jain et al. (2006). Ishiyama's study demonstrated the effectiveness of using minutiae extraction for melon identification. However, the unique characteristics of melon rind patterns and fingerprints indicate that relying solely on minutiae features might overlook crucial elements required for accurate melon pattern matching. This observation was supported by the dataset analyzed in this study which revealed the presence of not only bifurcations but also trifurcations in melon rind patterns as visually depicted in Fig. 2.1. To address this limitation, this thesis proposes a novel method that utilizes a triplet loss function integrated with a convolutional neural network (CNN) architecture to identify similarities among inputted melon images. CNNs have demonstrated their ability to automatically capture relevant features during the training process Alzubaidi et al. (2021). By leveraging the power of CNNs, the proposed method aims to capture trifurcations and other critical features that might have been overlooked by relying solely on minutiae features.

The triplet loss function, a supervised learning technique, plays a pivotal role in the proposed method. It minimizes the distance between positive pairs of melon images while pushing negative pairs further apart, thus optimizing the margin in the embedding space. This technique has shown exceptional efficacy in tasks like face recognition Schroff et al. (2015b), which shares similarities with melon rind recognition, where the model needs to identify individual melons accurately.

By combining the unique characteristics of melon rind patterns, the power of CNNs in feature extraction, and the effectiveness of the triplet loss function, this thesis aims to establish a robust and accurate authentication system for luxury fruits. The proposed method



**Figure 2.1** Fingerprint minutiae-like pattern on melon rind.

has the potential to revolutionize agricultural product authentication, enhance customer trust, and mitigate fraud and counterfeiting in the industry.

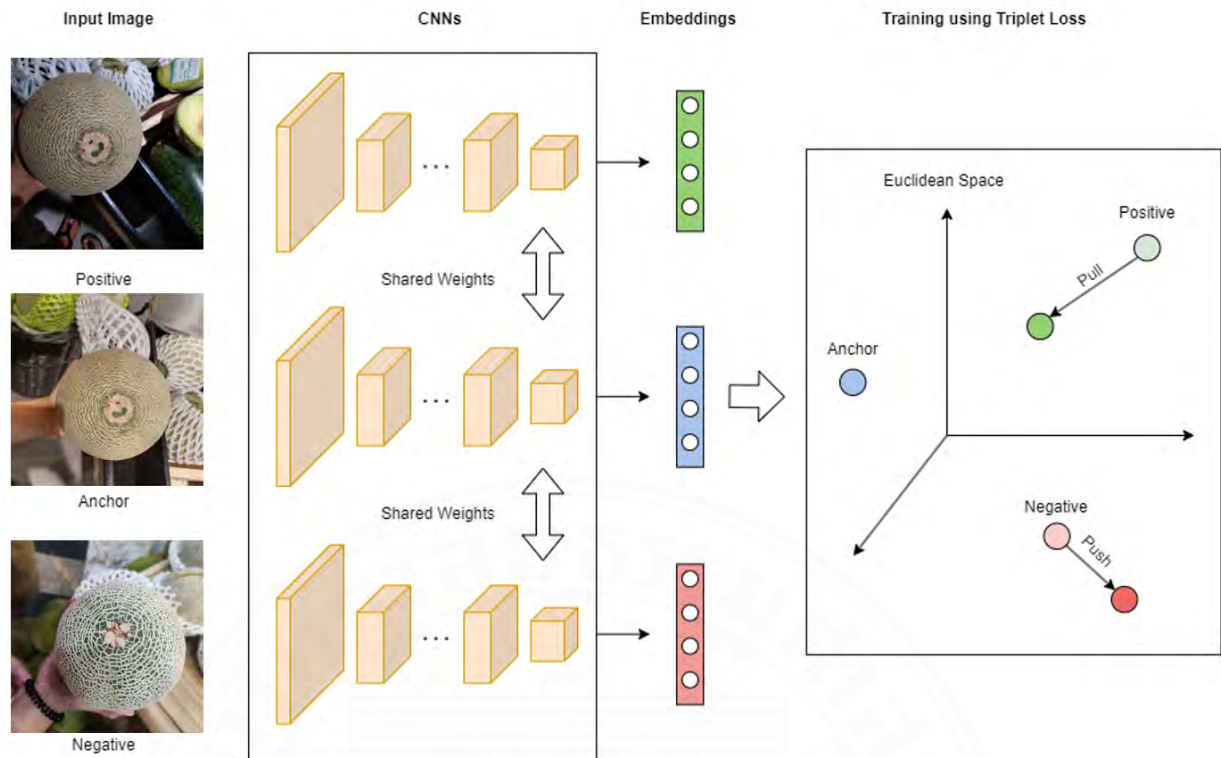
## 2.1 Minutiae Feature

In the field of fingerprint recognition, minutiae features refer to the unique and distinctive local characteristics or patterns found within fingerprint images. These distinct features are widely used in fingerprint matching and identification Hollingum (1992). Fingerprints, much like the patterns on a melon rind, are highly individualistic and exhibit intricate ridge structures. These ridge structures form unique patterns that are present on the surface of the fingertip. Minutiae features are specific points where the ridge structures exhibit changes or distinct properties, just as the irregularities, bumps, and patterns on a melon rind form its distinct features. There are two primary types of minutiae features: Ridge Endings: Ridge endings occur when a ridge segment terminates abruptly, forming a point or a small loop. They represent the points where the end of the ridge, indicating the termination of a particular ridge structure. Ridge Bifurcations: Ridge bifurcations occur when a ridge splits into two separate branches, forming a Y-shaped structure. They represent the points where the fingerprint ridges diverge in multiple directions. Other types of minutiae features may include dots, short ridges, or ridge crossings, but ridge endings and ridge bifurcations are the most commonly utilized and reliable minutiae features in fingerprint recognition sys-

tems Hong et al. (1998). These types of patterns can also be found on the melon pattern as shown in Fig. 2.1. The process of extracting minutiae features involves several steps. First, a fingerprint image is acquired using a sensor such as an optical or capacitive scanner. The image is then pre-processed to enhance its quality, remove noise, and improve contrast. Next, the ridges in the fingerprint image are thinned to obtain a skeletonized representation, where only the central ridge lines remain Jain et al. (1997). Thinning simplifies the image and separates the ridge structures for further analysis. Once the ridge thinning is complete, minutiae points are detected by examining the ridge structure. Various algorithms, such as crossing number, ridge tracing, or ridge orientation-based methods, are employed to identify and extract the minutiae features. The location, orientation, and other relevant attributes of each minutia point are recorded, forming a set of minutiae descriptors Zaeri (2011). During the matching process, the minutiae features extracted from a captured fingerprint are compared with the minutiae features stored in a database of reference fingerprints. The matching algorithms assess the spatial relationships, distances, and orientations between the minutiae points to determine the similarity or dissimilarity between the two fingerprints. Multiple matching algorithms can be used to match fingerprint image Jain et al. (1997) which is chosen according to the type of feature used in that task. Though this study mainly uses accidental coincidence probability (ACP) to match detected minutiae features, to compare the proposed method with the method incorporated by Ishiyama et al. (2012). If a sufficient number of matching minutiae features are found within defined thresholds, a positive identification or verification is established.

## 2.2 Triplet Loss

Triplet-loss is widely known as a great loss function to train a Siamese network. A Siamese network is a neural network with two or more identical subnetworks used to generate embedding vectors for each input and compare them. A predecessor Siamese network uses a contrastive loss function to compare the embedding result of two input images. It operates on pairs of face images, categorizing them as either positive (same identity) or negative (different identity). The goal is to learn an embedding space where the distance between positive pairs is minimized while the distance between negative pairs is maximized Tanveer et al. (2021). Although a famous study put forward by Schroff et al. (2015a) introduces the use of triplet loss function on a three-input Siamese network, which ultimately avoids the drawback of contrastive loss at the cost of increased computation resource Kertész (2021). As opposed to contrastive loss, which considers the predefined margin only when dealing with the negative pair, triplet loss keeps track of the margin between the anchor and positive



**Figure 2.2** Triplet-loss training process diagram.

and the anchor and negative. The triplet loss function operates on sets of triplets, each consisting of an anchor face image, a positive face image (same identity as the anchor), and a negative face image (different identity from the anchor). The objective is to learn an embedding space where the distance between the anchor and the positive image is minimized while the distance between the anchor and the negative image is maximized. The loss function can be formulated as follows:

$$Loss = \max(d(a, p) - d(a, n) + margin, 0) \quad (2.1)$$

where  $d(a, p)$  represents the distance metric between the anchor ( $a$ ) and positive ( $p$ ) embeddings, and  $d(a, n)$  represents the distance between the anchor ( $a$ ) and negative ( $n$ ) embeddings, the margin is a hyperparameter that determines the desired separation between positive and negative pairs. The loss function encourages the positive pairs to have smaller distances than the negative pairs by at least the margin value.

An illustration of the training process is shown in Fig. 2.2. The face recognition system learns the optimal parameters by iteratively sampling triplets from the training dataset and optimizing the triplet loss function. The network updates the feature embeddings such

that the distance between the anchor and positive pairs decreases while increasing the distance between the anchor and negative pairs. Various techniques can be employed to enhance the effectiveness of the triplet loss function, such as online triplet mining, which dynamically selects informative triplets during training, and batch hard mining, which focuses on the most challenging triplets within a mini-batch to optimize the loss function efficiently Shrivastava et al. (2016).

Triplet loss-based methods have demonstrated significant success in face recognition tasks, achieving high accuracy and robustness. They have been widely adopted in both commercial and research face recognition systems. By learning discriminative face embeddings through the triplet loss function, these systems can effectively handle challenging scenarios, such as variations in pose, illumination, and facial expressions, and provide reliable identification and verification capabilities in real-world applications Haider et al. (2023). The triplet loss-base Siamese network can be adapted to the melon rind pattern recognition scenario in a similar way as it is employed for face recognition. Just like human faces, melon also has a unique rind pattern that is intricate enough to be used as identification means.





## **CHAPTER 3**

### **METHODOLOGY**

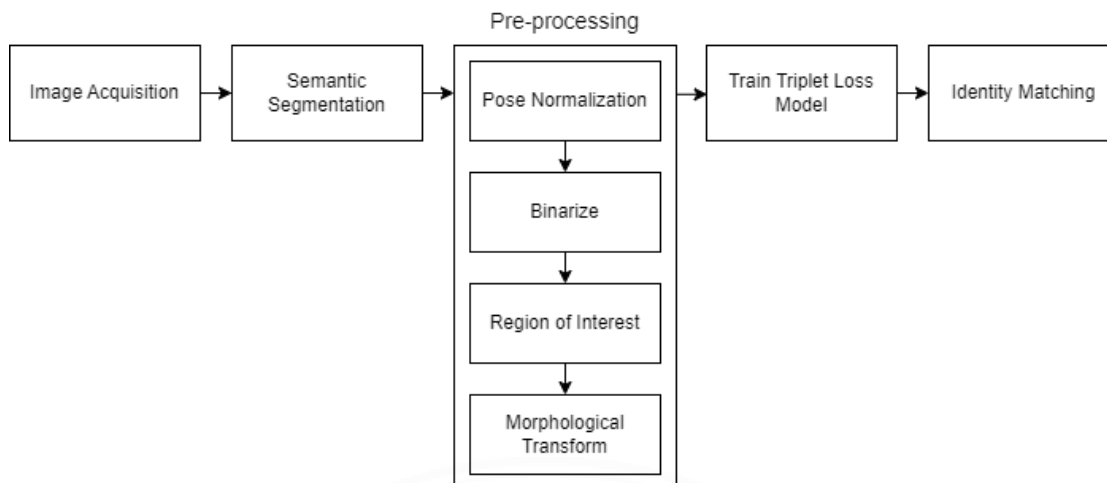
The objective of this study is to improve upon the melon identification process described in the work of Ishiyama et al. (2012). Our approach builds upon their study by automating the process and eliminating the need for manual intervention, making it more convenient and potentially attractive for use in the agricultural industry. Our workflow consists of four main steps: semantic segmentation, image preprocessing, training, and identity matching, as depicted in Fig. 3.1. Throughout the process, we will highlight similarities and differences with the approach described in Ishiyama et al.

#### **3.1 Image Acquisition**

Four images with slightly different angles were acquired from 56 individual melons using four smartphones. Each phone took a single photo of the melon with the stem approximately centered in the middle of the image. These images were taken free-handed, without using any platform or background, and under varying lighting conditions. As shown in Figure 3.2, the resulting images often contain shadows, non-uniform backgrounds, and misaligned stem placements. The uniformity of the image acquired differs from the approach used in the previous study of Ishiyama et al. (2012), in which melons were placed on a flat table and photographed under controlled lighting conditions as shown in (Ishiyama et al. (2012), Fig. 5). A total of 496 images of 124 melons from varying angles and backgrounds were captured.

#### **3.2 Semantic Segmentation**

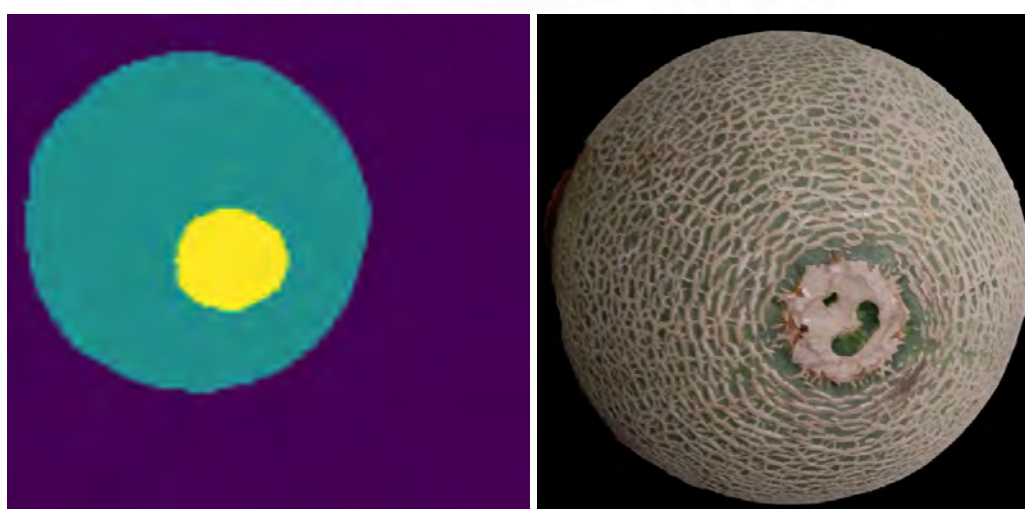
In the previous study by Ishiyama et al. (2012), the background was manually cropped out of the images to retain only the pixels containing the melon. Semantic segmentation can eliminate this manual process. First, the ground truth mask image is created by labeling regions of the acquired images with two classes: the melon and stem. The semantic segmentation model is trained using the U-Net architecture with a VGG-16-based encoder on labeled ground truth images. Further details about the semantic segmentation model and configuration can be found in divamgupta (2021). The trained semantic segmentation model is then used to generate a mask image of the detected melon and stems. The background can be automatically cropped out using the mask image, resulting in images that



**Figure 3.1** Workflow diagram

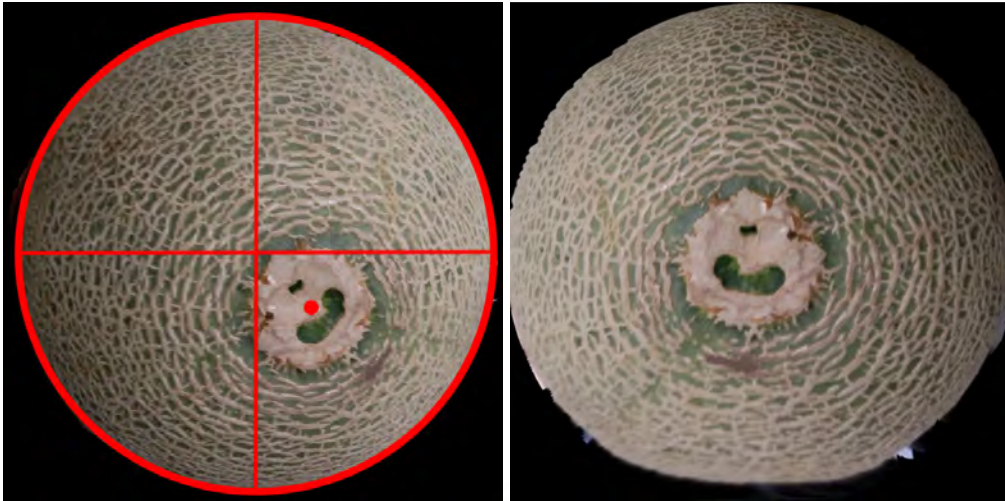


**Figure 3.2** Original image from image acquisition.



**Figure 3.3** Semantic segmentation mask and cropped image.





**Figure 3.4** Pose normalization visualization.

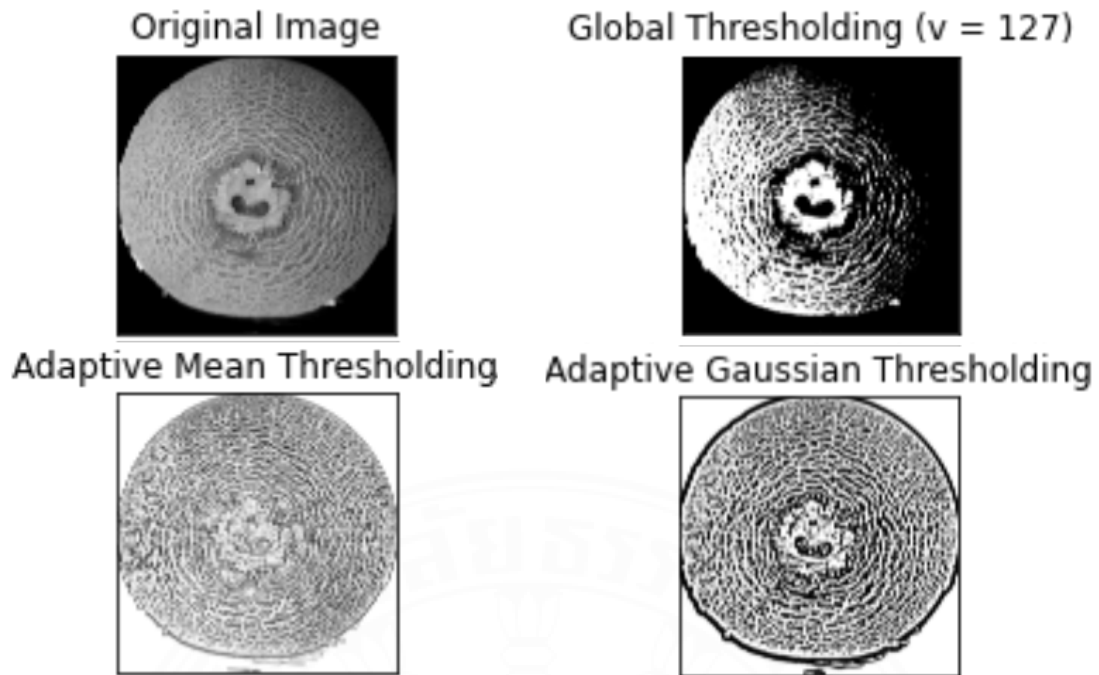
contain only the melon, as shown in Fig. 3.3. This process eliminated the need for manual cropping, making the identification process more efficient.

### 3.3 Image Preprocessing

After obtaining a background-less image from the semantic segmentation process, the image is passed through four main preprocessing steps. Preprocessing is done to remove redundant information keeping only the relevant melon rind pattern to be trained in the triplet loss model.

#### 3.3.1 Pose Normalization

Having the stem even slightly off-centered can result in lower matching accuracy. As the images used in this study were taken free-handed with no platform, the images contain a significant deviation from the center in most images. The pose normalization technique can be applied to center the images by translating the pixels in the image so that a desired point (e.g., the stem) is brought to the center while minimizing distortion. In the previous study by Ishiyama et al. (2012), the stem was manually located in the image and used to guide the pose normalization process. In contrast, the semantic segmentation process already detected both the melon and stem in the image, allowing us to retrieve the stem location from the detected mask and perform pose normalization without needing manual selection. An example of the pose normalization process and its result is shown in Fig. 3.4. The result of pose normalization helps to improve the accuracy of image matching by reducing variations in the placement of the stem.



**Figure 3.5** Comparison of thresholding methods.

### 3.3.2 Binarize

After pose normalization, we processed the images using a thresholding function to reduce the dimensionality of the data and transform the RGB images into binary images. As shown in Fig. 3.5 compared to the other thresholding methods, adaptive Gaussian thresholding can accurately transform and emphasize the relevant rind pattern. Adaptive Gaussian thresholding is used to binarize the images to simplify the training image and remove redundant information, significantly reducing the model's training resource to identify and match the melons.

### 3.3.3 Region of Interest

The drawback of using semantic segmentation to crop the images is that the outer perimeter of each melon image may not be uniform, which could negatively impact the accuracy of our matching model. The binary image is cropped, retaining only each image's central 60% circular area. Removal of the irregular perimeter helped to ensure that the images were more consistent in terms of their size and shape, improving the performance of our model.

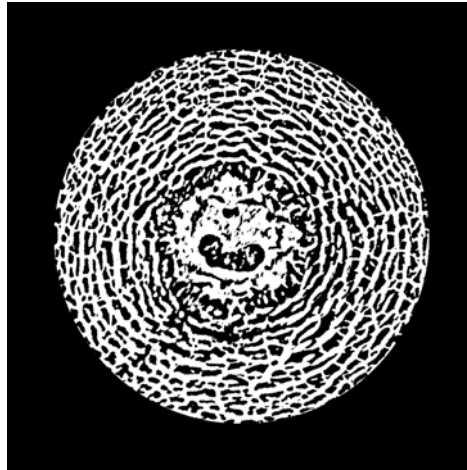


Figure 3.6 ROI cropped binary image.



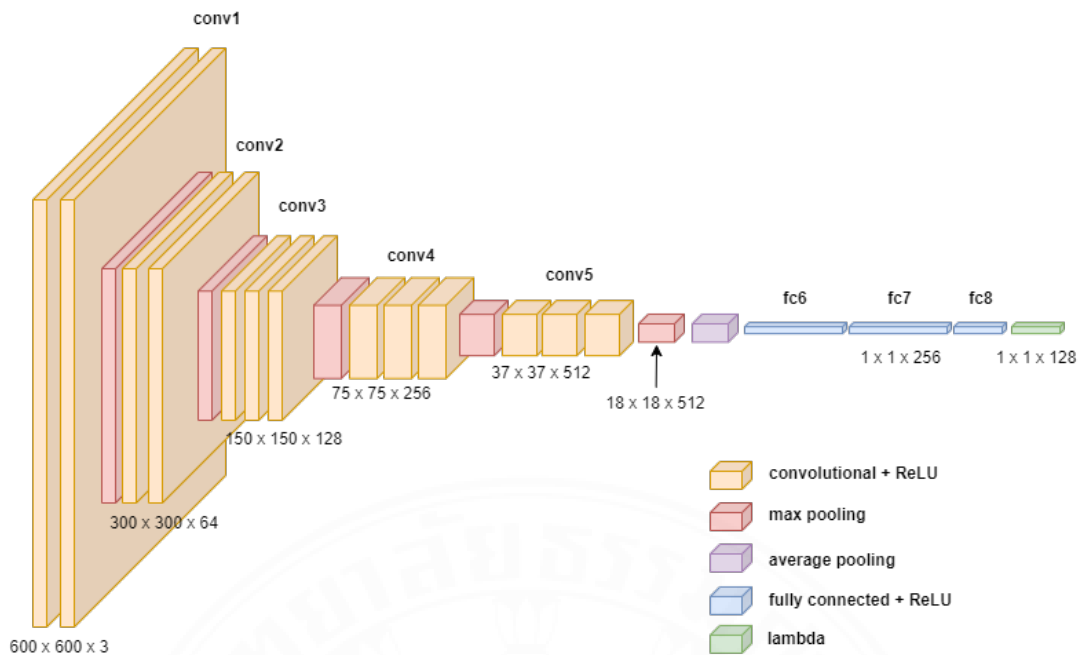
Figure 3.7 Triplet loss training visualization.

### 3.3.4 Morphological transform

One issue that can arise during the thresholding process is the introduction of salt and pepper noise, which can negatively impact the model's performance. The morphological opening followed by closing can be used to eliminate these noises. These operations are known to smooth out sharp edges on the contours of the shapes, fill in any gaps or holes, and remove stray pixels, resulting in a clearer and sharper image.

## 3.4 Triplet Loss

Triplet loss was first put forward by Schroff, Florian in 2015 Schroff et al. (2015b) as a method for face recognition. As opposed to regular classification models, triplet loss excels in recognizing a large number of classes. Triplet loss does not directly classify each input image as each designated class. It determines the similarity of each image by the distance between each image after encoding them into the embedding space.



**Figure 3.8** VGG-16 layers configuration.

### 3.4.1 Model Configuration

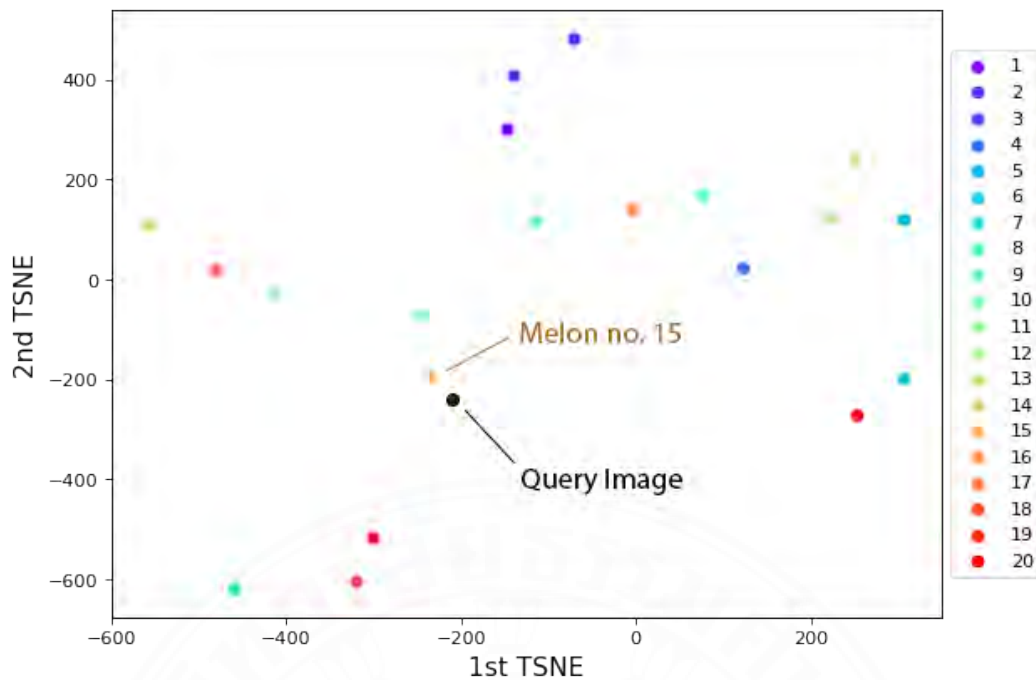
The triplet-loss model is based on the VGG-16 model, a well-known model pre-trained on the ImageNet dataset, by removing the classification layer and using the feature learning layer to recognize melon rind patterns. This approach, known as transfer learning, allowed the model to achieve better performance in a shorter training time. The modified VGG-16 model is shown in Fig. 3.8.

### 3.4.2 Training

The Triplet loss model is trained by simultaneously using three inputs: anchor, positive and negative image. In the training process, the loss of the model is calculated using the equation:

$$L = \max(d(a, p) - d(a, n) + m, 0) \quad (3.1)$$

where:



**Figure 3.9** Query image identification on embedding space.

$a$  = anchor, focal sample.

$p$  = positive, sample in the same class as the anchor.

$n$  = negative, sample in different class of the anchor.

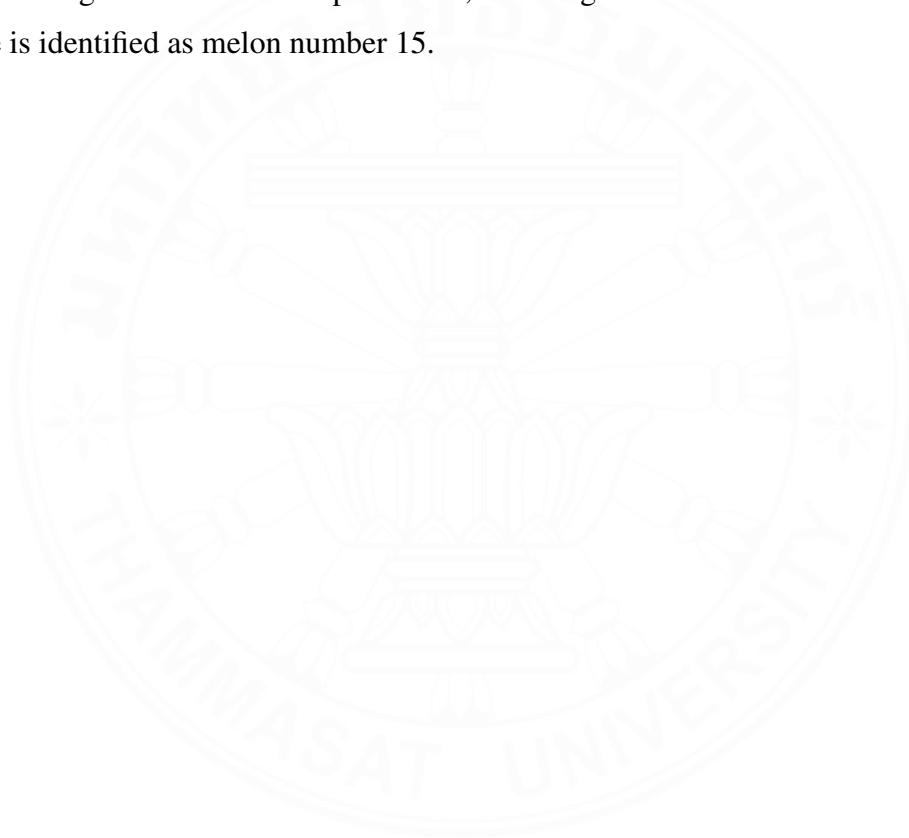
$d$  = euclidean distance function to measure the distance between the samples in embedding space.

$m$  = margin, the distance between the negative and positive sample.

During training, the model is fed with multiple batches of triplets consisting of an anchor, a positive, and a negative sample. After transforming the input images into embedding vectors, the loss for each triplet is calculated. The model's weights are then adjusted to increase the distance between the negative and anchor samples while decreasing the distance between the anchor and positive samples. In each epoch, we use two types of triplet batches: a random batch, which consists of triplets selected randomly, and a hard batch, which consists of triplets with high loss values. While training, the model will modify its weights until the negative sample is farther away from the anchor and the positive sample closer. The distance between the negative and positive samples is also optimized to minimize the model's cost and prevent overfitting.

### 3.4.3 Identity Matching

After training, the model is able to transform binary melon images into matrices of embedding values. These values can be used to identify the melon in each image, as the dataset includes four images for each unique melon. One image of each melon is transformed into an embedding matrix and kept as a database of known melons, while the remaining images are used as query images. To identify a query image, it is first converted into an embedding matrix, and the Euclidean distance between it and the other images in the database is calculated. The image with the minimum distance is identified as the matching image and, therefore, the identity of the query image. This identity matching process is illustrated in Fig. 3.9. In the example shown, the image in the database with the minimum distance is identified as melon number 15.



## CHAPTER 4

### RESULT AND DISCUSSION

The model and training configurations were verified using a 10-fold cross-validation method. The melon image dataset was divided into ten parts, with nine parts used for training and the remaining part used as test images for each fold of validation. Out of the total 124 melon identities, 12 melons were selected as test images, rotating them until all parts of the dataset were used as test images. The matching process involved the following steps: (1) One image per melon from the test set served as the identity database, while the remaining three images per melon were treated as query images. (2) Each query image was compared with all identities in the database using a distance metric. (3) The identity with the embedding vector having the least Euclidean distance to the query image's embedding vector was identified as the matched identity. The matching process resulted in a total of 432 instances checked per validation fold. As the embedding vector of both the query and database image has large dimensions, dimensionality reduction technique is used to visualize relationship between each embedding matrix and visualize the model's ability to characterize and group the images of the same melon identity. Principal component analysis (PCA) a well-known dimensionality reduction technique was first applied, although the model's matching performance is high the visualized embedding space shows overlapping of multiple melon identity in the same space. As PCA is a linear technique that captures the maximum variance of the data, PCA is not effective in preserving relationships between individual data points. The visualized cluster does not correctly visualize the embedding space of triplet loss model which pushes the negative samples away while the positive samples should be positioned close together. Instead by using t-Distributed Stochastic Neighbor Embedding (TSNE) to visualize the embedding space, TSNE is a non-linear method that prioritizes preserving the local structure of the data which results in much better visualization for this study. The TSNE representation of the embedding space for each fold is depicted in Figure [4.1-4.10]. In each fold, the model successfully clustered the embeddings of the same melon identity, although some query images were positioned far from their corresponding identity cluster. Errors in identity matching arose when images were captured with the melon stem significantly off-centered, and even with pose normalization, some nuances in the rind texture were not accurately captured in the image. The model's performance is presented in Figure 4.11. To evaluate the matching performance, metrics such as false acceptance rate (FAR), false



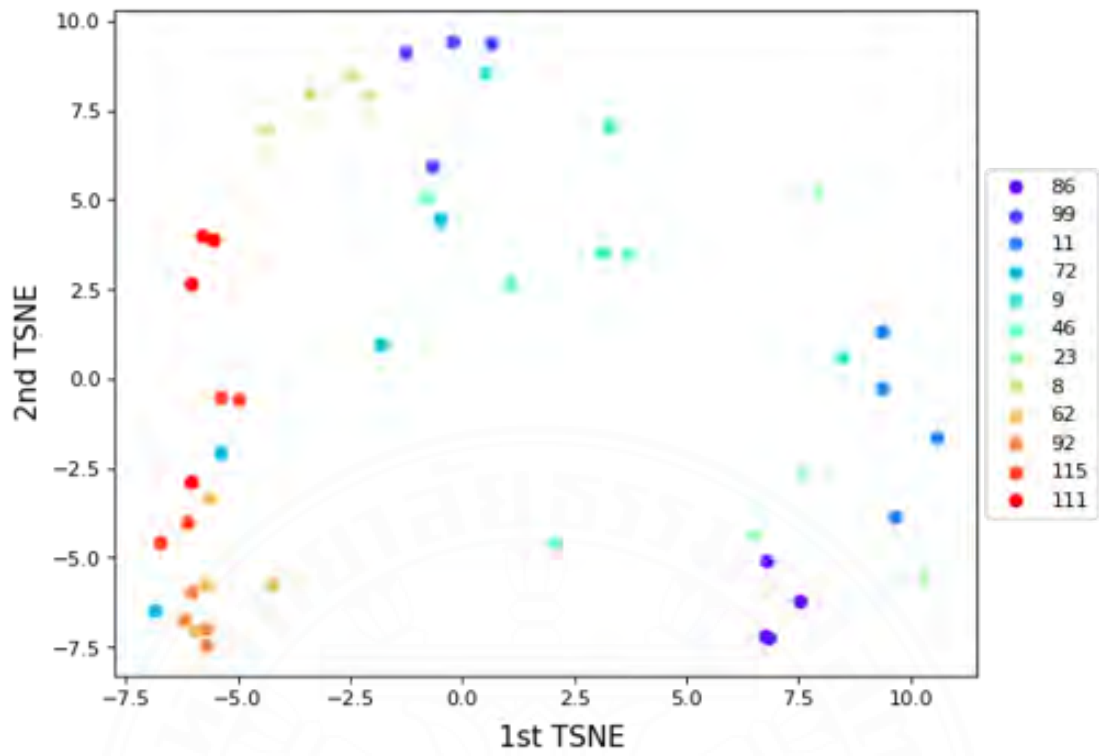


Figure 4.1 First Fold

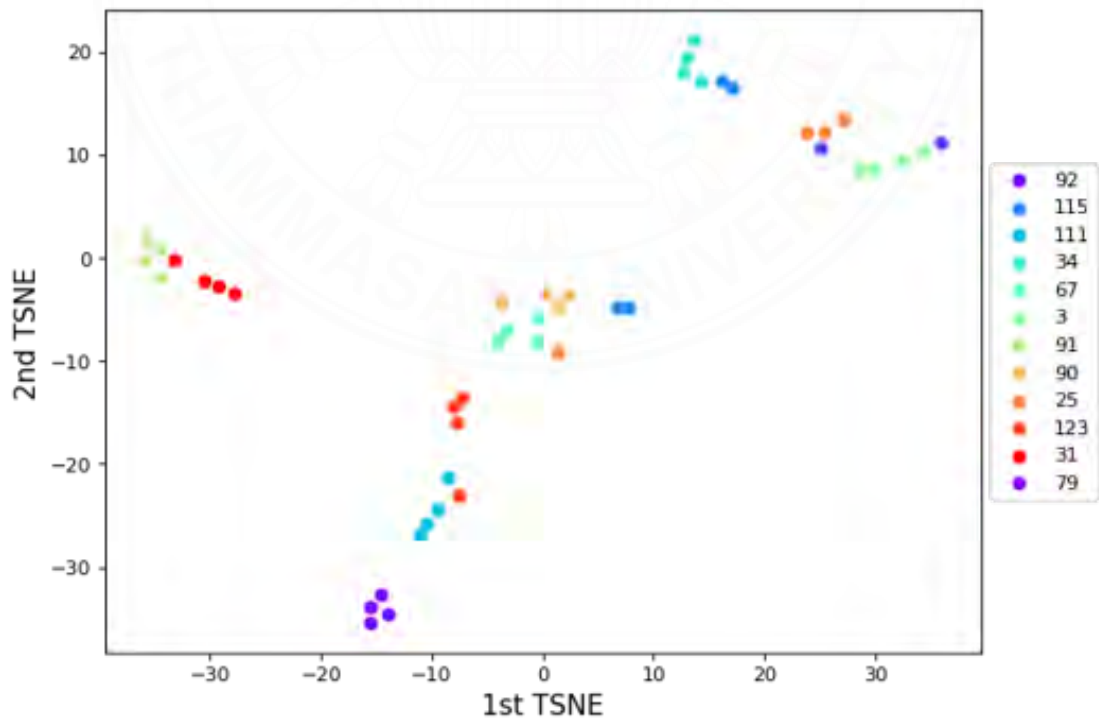
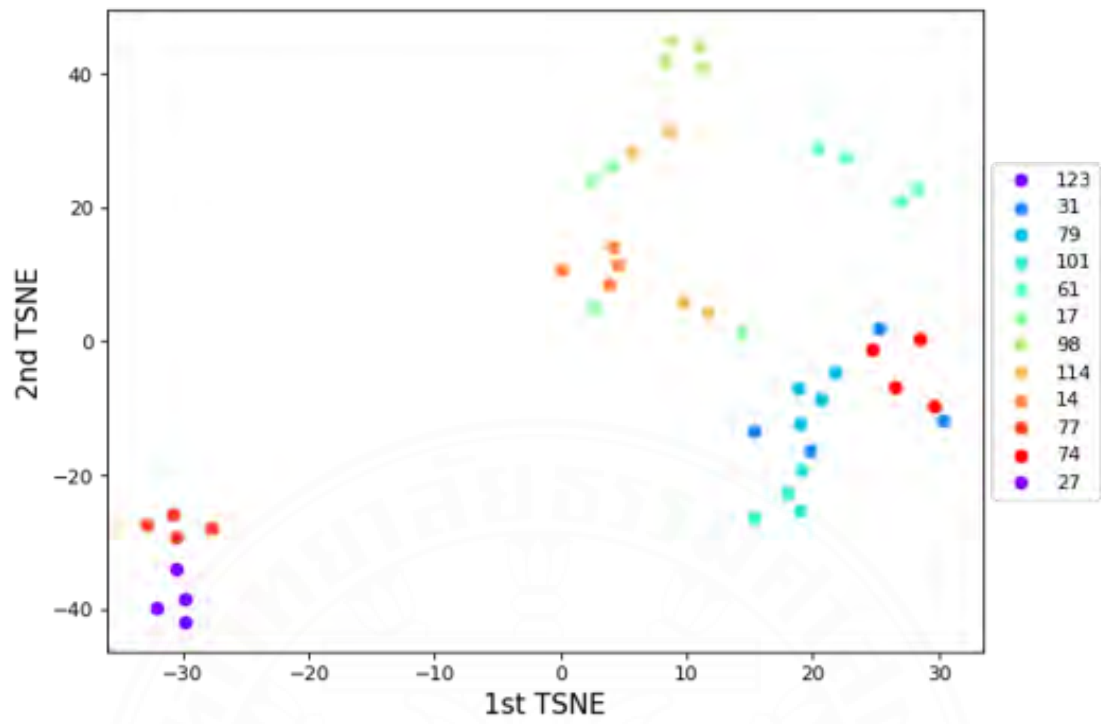
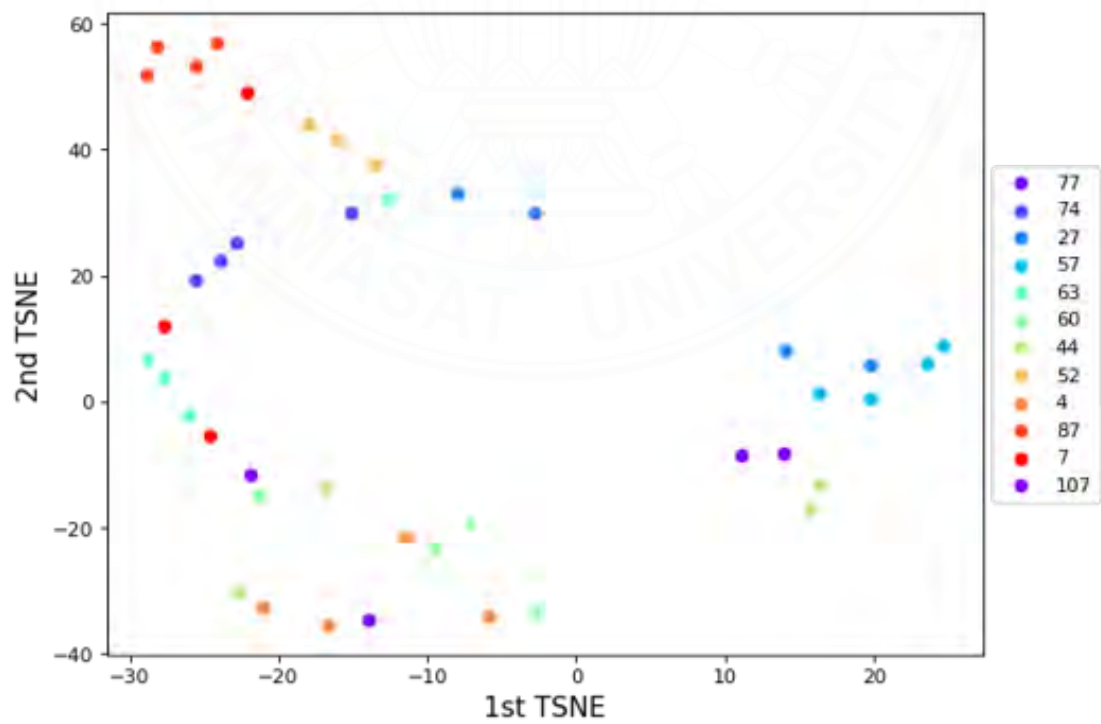


Figure 4.2 Second Fold

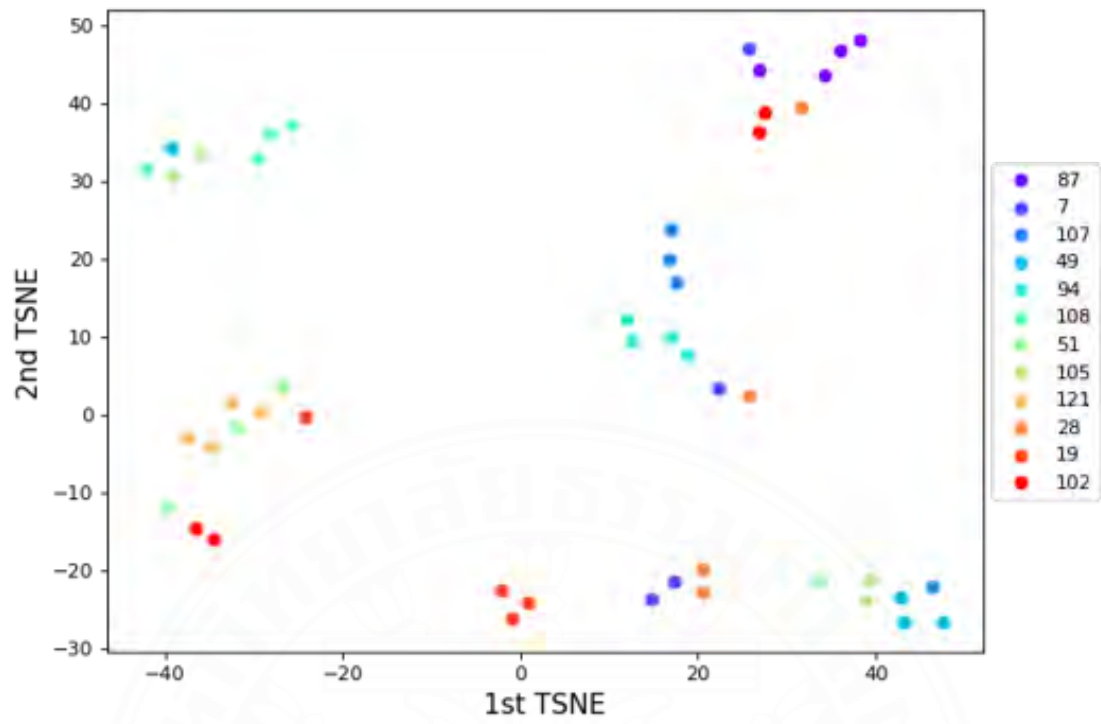




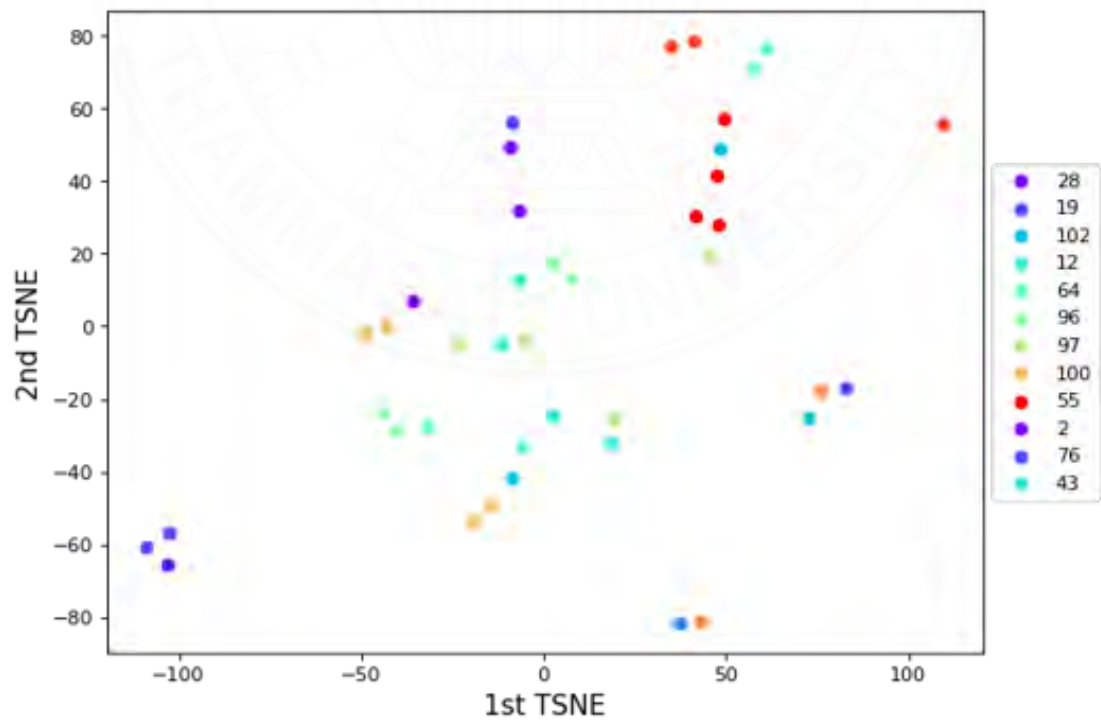
**Figure 4.3** Third Fold



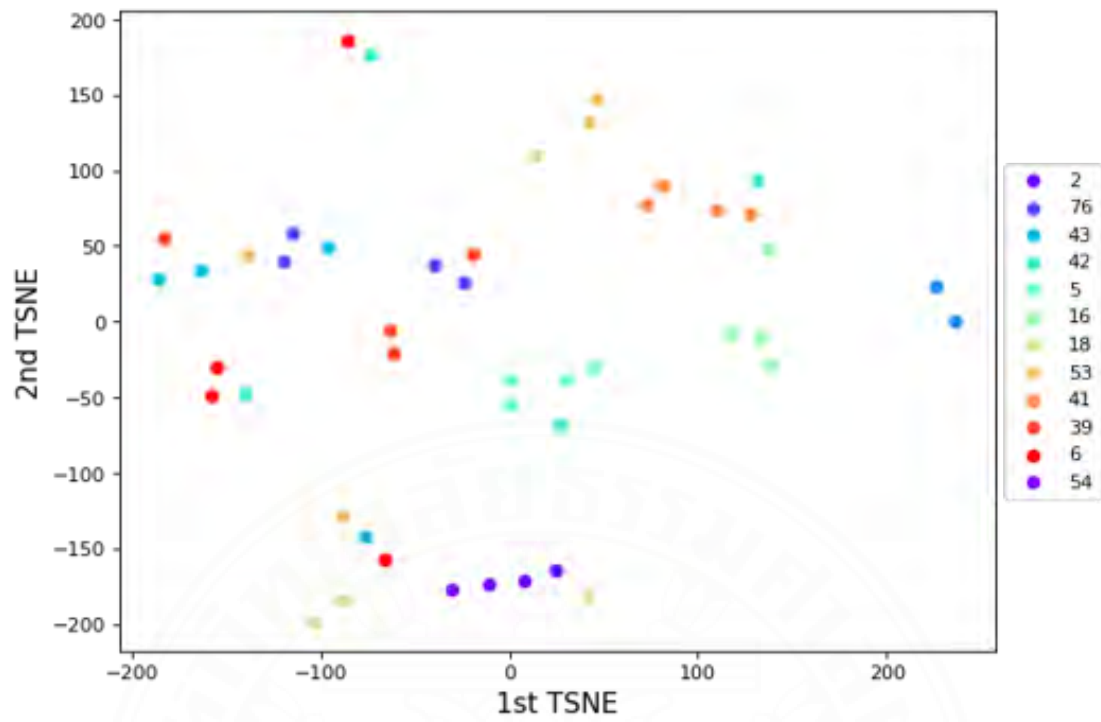
**Figure 4.4** Forth Fold



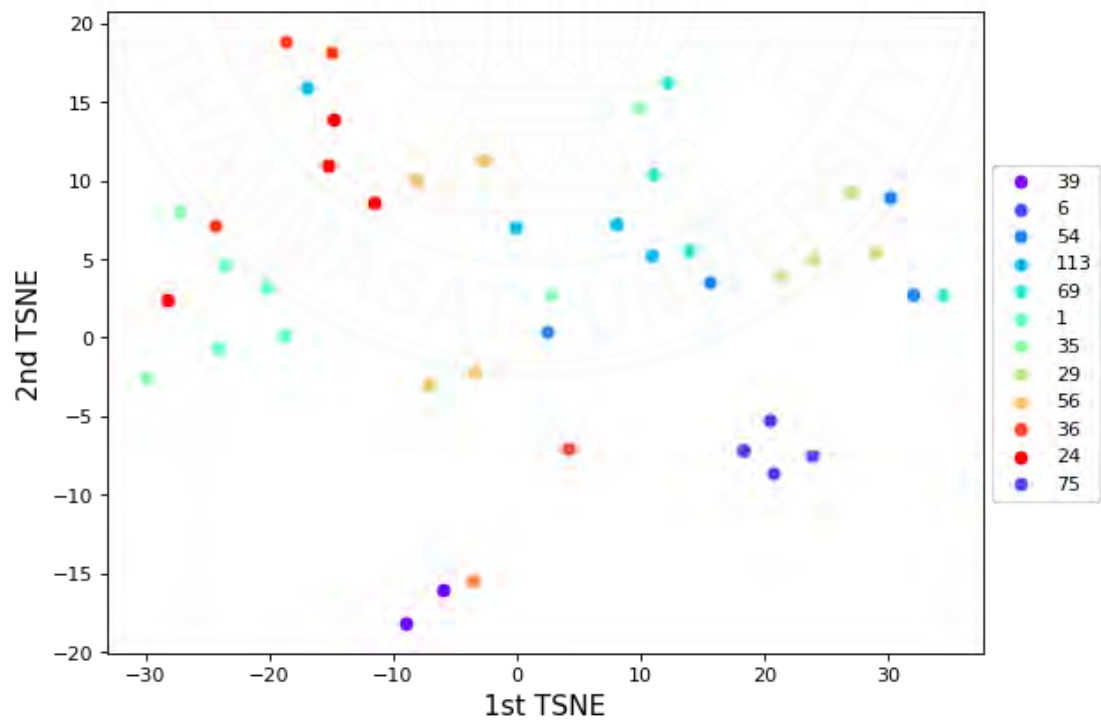
**Figure 4.5** Fifth Fold



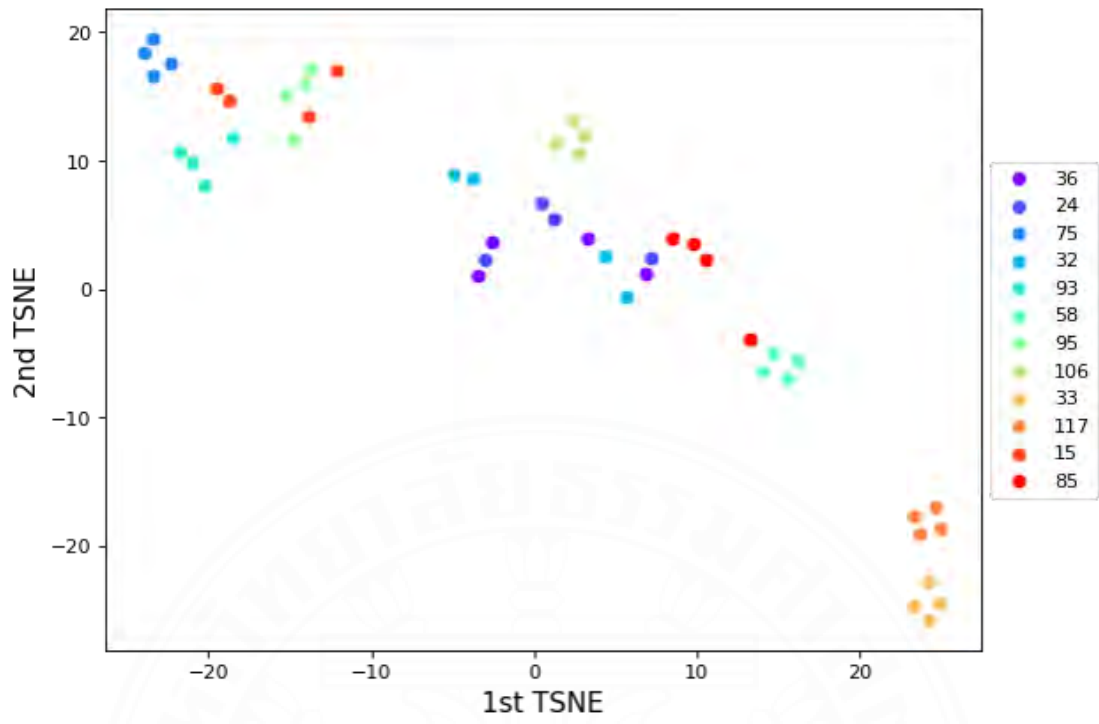
**Figure 4.6** Sixth Fold



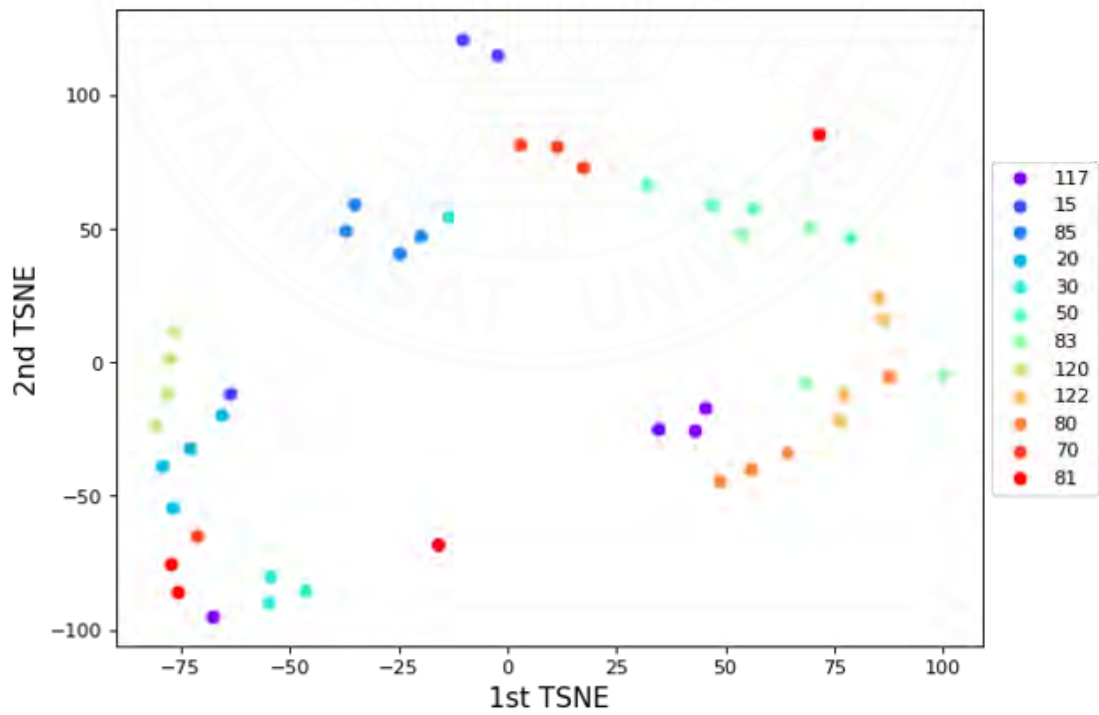
**Figure 4.7** Seventh Fold



**Figure 4.8** Eighth Fold



**Figure 4.9** Ninth Fold



**Figure 4.10** Tenth Fold

	False Acceptance Rate (FAR)	False Rejection Rate (FRR)	Top Rank ID Error
Fold 1	0.23%	6.94%	3.47%
Fold 2	0.23%	5.32%	2.55%
Fold 3	0.00%	6.71%	3.70%
Fold 4	0.00%	7.41%	4.17%
Fold 5	0.46%	6.94%	4.40%
Fold 6	0.23%	7.18%	4.86%
Fold 7	1.11%	5.56%	3.70%
Fold 8	1.39%	5.09%	4.40%
Fold 9	0.00%	5.09%	3.01%
Fold 10	0.46%	5.79%	2.78%
<b>AVG</b>	<b>0.41%</b>	<b>6.20%</b>	<b>3.70%</b>

**Figure 4.11** System performance in 10-fold cross-validation.

rejection rate (FRR), and Top Rank ID Error were utilized, which are commonly employed for evaluating the performance of biometric systems Natarajan and Shanthi (2018).

$$FAR = \frac{FalseAcceptance}{TotalCheck} \quad (4.1)$$

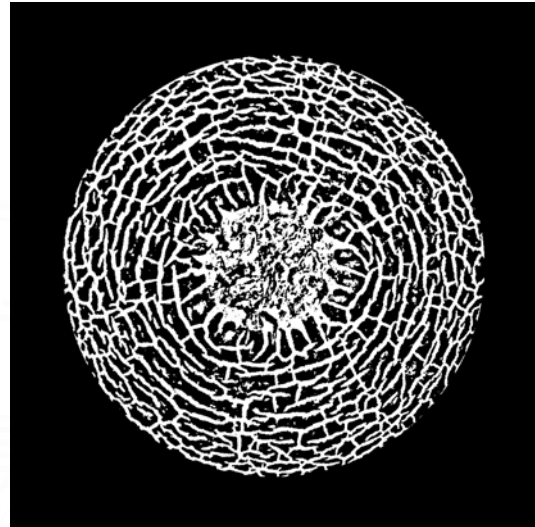
$$FRR = \frac{FalseRejection}{TotalCheck} \quad (4.2)$$

$$TopIDErr = \frac{NegativePair}{TotalCheck} \quad (4.3)$$

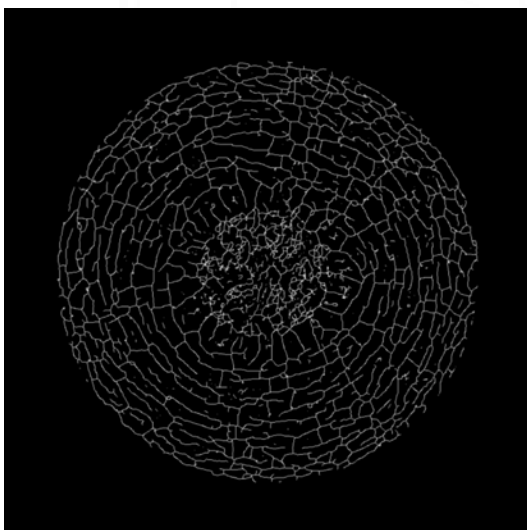
Each melon image is queried in the evaluation process, and its embedding is used to calculate the Euclidean distance with all identities in the database. Suppose the minimum distance exceeds the specified threshold. In that case, the queried melon is considered a counterfeit melon. It is counted towards false rejections, contributing to the false rejection rate (FRR) calculation since all melons used are present in the database. On the other hand, false acceptances, which contribute to the false acceptance rate (FAR), occur when the minimum distance falls below the threshold, but the matched identity is incorrect. The top-rank ID error (TopIDErr) is determined by instances where the minimum distance pair has a mis-



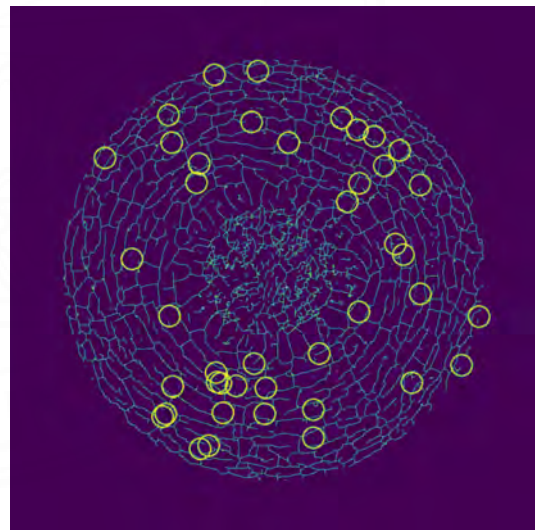
(a) Cropped and pose-normalized



(b) Binarization and ROI cropping.



(c) Ridge thinning to obtain skeletonized structure of melon rind pattern.



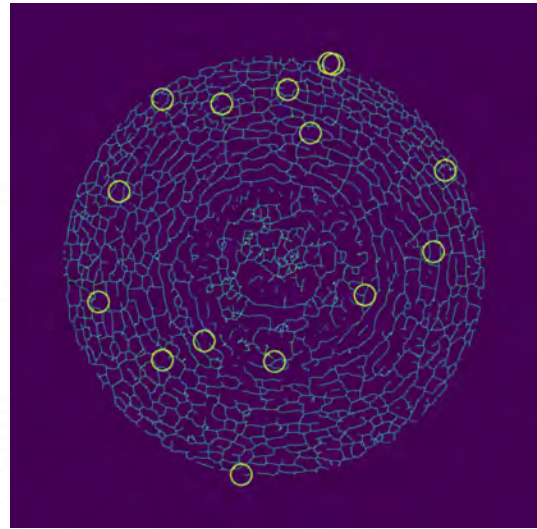
(d) Detected Minutiae feature on the texture of melon.

**Figure 4.12** Minutiae feature extraction method.





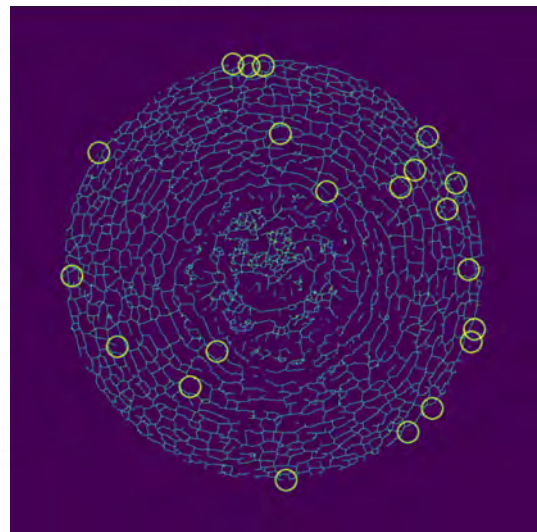
(a) Melon #9 taken with phone A.



(b) Detected minutiae feature of melon #9A.



(c) Melon #9 taken with phone B.



(d) Detected minutiae feature of melon #9B.

**Figure 4.13** Example of inconsistent detected melon pattern of the same melon identity.

matched identity, regardless of whether the distance value surpasses the threshold.

The performance of the proposed method is satisfactory. However, it falls short when compared to the results of the study by Ishiyama et al. (2012), which achieved a top-rank ID error of only 0.06%. It is important to note that the previous study utilized a larger dataset of 1,776 melons, resulting in 3,154,200 matching instances. As shown in Equations (4.1) - (4), a larger sample population can lead to a reduction in both FAR and FRR. The significant difference in the number of total checking instances highlights the need for a much larger dataset to compare performance with the previous study comprehensively.

In contrast to the method used in the Ishiyama study, the identical technique was applied to the same dataset in this study. The process is illustrated in Figure 4.12, starting with background removal and pose normalization to center the melon stem. The image is then binarized and ridge thinning to obtain the ridge skeleton. Finally, minutiae-like features of the melon rind pattern are extracted to identify each melon identity.

However, attempting to apply the same procedure to the dataset used in this study resulted in inconsistent results. The dataset exhibited significant dissimilarity among the four images of the same melon, primarily due to significant camera angle variations and inconsistent lighting conditions for each sample. Although minutiae features were successfully detected using the accidental coincidence probability (ACP) approach on the same image, achieving a 100% match as expected, the results were disappointing when matching different images of the same melon identity, with a match rate of less than 1%. The match rate remained unacceptably low even when considering the pair of images with the least angle variation and lighting conditions. An example of the inconsistency in detected minutiae features is shown in Figure 4.13. Therefore, employing minutiae feature extraction and ACP on this dataset is not viable.

On the other hand, the triplet loss method proves to be much more reliable, even when faced with high variations in image quality. In practical use cases where queries are made from the customer's side, it is inevitable that some query images will have poor lighting and variations in angle with respect to the center of the melon.

Furthermore, additional studies could be conducted to compare the proposed method with other minutiae-based techniques, including directional minutiae combined with matching algorithms other than ACP. These experiments allow for a comparison of the trade-offs between accuracy and efficiency. It is worth noting that the proposed triplet loss method requires significantly higher computational resources compared to other traditional techniques used for fingerprint recognition tasks.



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**APPENDIX**

## APPENDIX A

### PYTHON CODES

```

1 from wfmread import wfmread
2 from IPython.display import clear_output
3 import numpy as np
4 import matplotlib.pyplot as plt
5 from IPython.display import clear_output
6 import os
7 from scipy.optimize import curve_fit
8 from scipy.stats import gaussian_kde
9 import matplotlib.pyplot as plt
10 from matplotlib import cm
11 from matplotlib.colors import ListedColormap, LinearSegmentedColormap
12 from PIL import Image
13 import PIL.ImageOps
14 import random
15 import math
16 ch1_dict = {
17     '1': 'wfm/InternalNew3_N5_300mV_200M_1us_200_Ch1.wfm',
18     '2': 'wfm/Surface_N5_500mV_200M_1us_200_Ch1.wfm',
19     '3': 'wfm/Positive2_N5_10mV_5G_2us_10000sa_Ch1.wfm',
20     '4': 'wfm/FreeMoving1_N5_50mV_200M_1us_200_Ch1.wfm',
21     '5': 'wfm/FloatingParticle_N5_2V_1G_1us_1000_Ch1.wfm',
22     '6': 'wfm/1-Internal_45mm33_Ch1.wfm',
23     '7': 'wfm/6kV_internal_oil_89pF_surface_69pF_N6_L43_HV_plates_Ch1.wfm'
24 }
25 ch2_dict = {
26     '1': 'wfm/InternalNew3_N5_300mV_200M_1us_200_Ch2.wfm',
27     '2': 'wfm/Surface_N5_500mV_200M_1us_200_Ch2.wfm',
28     '3': 'wfm/Positive2_N5_10mV_5G_2us_10000sa_Ch2.wfm',
29     '4': 'wfm/FreeMoving1_N5_50mV_200M_1us_200_Ch2.wfm',
30     '5': 'wfm/FloatingParticle_N5_2V_1G_1us_1000_Ch2.wfm',
31     '6': 'wfm/1-Internal_45mm33_Ch2.wfm',
32     '7': 'wfm/6kV_internal_oil_89pF_surface_69pF_N6_L43_HV_plates_Ch2.wfm'

```

```

33 }
34
35 # print("Creating dataset with classes: 1.Internal 2.Surface 3.Corona 4.
    Freemoving 5.Floating")
36
37 import numpy as np
38 import matplotlib.pyplot as plt
39 from matplotlib import cm
40 from matplotlib.colors import Normalize
41 from scipy.interpolate import interpn
42
43 def density_scatter( x , y, ax = None, sort = True, bins = 20, **kwargs ) :
44     """
45     Scatter plot colored by 2d histogram
46     """
47     if ax is None :
48         fig , ax = plt.subplots()
49         fig.set_figheight(6)
50         fig.set_figwidth(6)
51     data , x_e, y_e = np.histogram2d( x, y, bins = bins, density = True )
52     z = interpn( ( 0.5*(x_e[1:] + x_e[:-1]) , 0.5*(y_e[1:]+y_e[:-1]) ) , data
53         , np.vstack([x,y]).T , method = "splinef2d", bounds_error = False)
54
55     #To be sure to plot all data
56     z[np.where(np.isnan(z))] = 0.0
57
58     # Sort the points by density, so that the densest points are plotted last
59     if sort :
60         idx = z.argsort()
61         x, y, z = x[idx], y[idx], z[idx]
62
63     ax.scatter( x, y, c=z, **kwargs )
64
65 internal = {}
66 internal2 = {}
67 surface = {}
68 corona = {}

```

```

68  insur = {}
69  insur2 = {}
70
71  internal['ch1'] = wfmread(ch1_dict['1']).wflist
72  internal['ch2'] = wfmread(ch2_dict['1']).wflist
73  internal2['ch1'] = wfmread(ch1_dict['6']).wflist
74  internal2['ch2'] = wfmread(ch2_dict['6']).wflist
75  surface['ch1'] = wfmread(ch1_dict['2']).wflist
76  surface['ch2'] = wfmread(ch2_dict['2']).wflist
77  corona['ch1'] = wfmread(ch1_dict['3']).wflist
78  corona['ch2'] = wfmread(ch2_dict['3']).wflist
79  insur['ch1'] = np.concatenate((internal['ch1'],surface['ch1']),axis = 0)
80  insur['ch2'] = np.concatenate((internal['ch2'],surface['ch2']),axis = 0)
81  insur2['ch1'] = wfmread(ch1_dict['7']).wflist
82  insur2['ch2'] = wfmread(ch2_dict['7']).wflist
83  for i in range(len(insur2['ch2'])):
84      insur2['ch2'][i] = insur2['ch2'][i]*(-1)
85  data_list = [internal,internal2,surface,corona,insur,insur2]
86  dat_name = ['Internal','Internal','Surface','Corona','InternalSurface','
      InternalSurface']
87  for i in range(len(data_list)):
88      ch1 = data_list[i]['ch1']
89      ch2 = data_list[i]['ch2']
90      Nframes = len(ch1)
91      data_name = dat_name[i]
92
93      print('Generating '+data_name+' | '+ str(Nframes)+' pulses')
94
95      rand_idx = np.random.choice(int(Nframes/frames),int(Nframes), replace=False
          ).tolist()
96
97
98      # p_per_img = int(math.floor(Nframes/max_img_amnt))
99
100     p_per_img = [20,100,500]
101     n_level = ['mild','moderate','severe']
102     for p_in in p_per_img:

```

```

103
104     level = n_level[p_per_img.index(p_in)]
105     data_name = dat_name[i]+"_"+level
106     print(f'Generating {level}')
107     rand_idx = np.random.choice(int(Nframes),int(Nframes), replace=False)
108         .tolist()
109     # max_img_amnt = int(math.floor(Nframes/p))
110     # print(str(max_img_amnt)+ ' images |' +str(p)+' points')
111     n = 0;
112     while len(rand_idx) > p_in*1.3:
113         # print(str(n+1),end = ', ')
114         phase_lst = []
115         peak_lst = []
116         dif = random.uniform(0.7, 1.4)
117         p = math.floor(p_in*dif)
118         for _ in range(p):
119             s = rand_idx.pop()
120             curr_ch1 = ch1[s]
121             curr_ch2 = ch2[s]
122             peak_Idx = np.argmax(abs(curr_ch2))
123             peak_V = curr_ch2[peak_Idx]
124             peak_Phase = curr_ch1[peak_Idx]
125             peak_lst.append(peak_V)
126             phase_lst.append((360/4.54)*peak_Phase)
127         x = np.array(phase_lst)
128         y = np.array(peak_lst)
129         if len(x) <5:
130             break
131
132         fig = plt.figure(frameon=False)
133         fig.set_size_inches(2.56,2.56)
134         ax = plt.Axes(fig, [0., 0., 1., 1.])
135         ax.set_axis_off()
136         abs_peak = list(map(abs, peak_lst))
137         yrange = (round(max(abs_peak)*100)/100)
138         fig.add_axes(ax)

```

```

139 x = np.array(phase_lst)
140 y = np.array(peak_lst)
141 try:
142     posP = max(y[x<180])
143     posP = np.format_float_scientific(posP, precision = 2,
144                                     exp_digits=1)
145 except:
146     posP = '0'
147 try:
148     negP = max(y[x>=180])
149     negP = np.format_float_scientific(negP, precision = 2,
150                                     exp_digits=1)
151 except:
152     negP = '0'
153 ax.hist2d(x, y, (60,100), cmap=plt.cm.binary)
154 ax.set_xlim([0,360])
155 ax.set_ylim([-yrange*1.5,yrange*1.5])
156 # fname = data_name+"("+str(per*100)+") [p"+posP+"] [n"+negP+"]_" +
157     str(int(np.random.rand(1)*10**8))+'.png';
158 uID = str(int(np.random.rand(1)*10**8))
159 # fname = str(p)+_+data_name+" [p"+posP+"] [n"+negP+"]_" + str(int(np
160     .random.rand(1)*10**8))+'.png';
161 fname = (f"{str(p)}_{data_name}[p{posP}][n{negP}]_{uID}.png")
162
163 # if n >= datasetAmount[data_name]:
164 #     path = '2ddata/.unused/'
165 #     if not os.path.exists(path+data_name):
166 #         os.makedirs(path+data_name)
167 # else:
168 #     path = '2ddata/.dataset/'
169 #     if not os.path.exists(path+data_name):
170 #         os.makedirs(path+data_name)
171 path = '2ddata/.dataset/'

```



```

172     # if not os.path.exists(path+data_name):
173     #     os.makedirs(path+data_name)
174     if not os.path.exists(f'{path}{data_name}'):
175         os.makedirs(f'{path}{data_name}')
176     fig.savefig('%s%s/%s' %(path,data_name,fname), bbox_inches='tight',
177               , pad_inches = 0)
178     image = Image.open('%s%s/%s' %(path,data_name,fname))
179     image = PIL.ImageOps.grayscale(image)
180     image = PIL.ImageOps.invert(image)
181
182     image.save('%s%s/%s' %(path,data_name,fname))
183     #
184
185     plt.close('all')
186     plt.clf()
187     plt.cla()
188     n+=1
189     print(f'{n} images generated')
190 print('file generation completed')
191 from tensorflow.python.client import device_lib
192 print(device_lib.list_local_devices())
193 import os
194 import numpy as np
195 np.random.seed(0)
196 import matplotlib.pyplot as plt
197 %matplotlib inline
198 from pylab import *
199 from keras.models import Sequential
200 from tensorflow.keras.optimizers import Adam
201 from keras.layers import Conv2D, ZeroPadding2D, Activation, Input,
202     concatenate
203 from keras.layers import GlobalAveragePooling2D,Dropout
204 from keras.models import Model
205 from keras.datasets import mnist
206
207 from tensorflow.keras.layers import BatchNormalization
208 from keras.layers.pooling import MaxPooling2D

```

```
207 from tensorflow.keras.layers import concatenate
208 from keras.layers.core import Lambda, Flatten, Dense
209 from keras.initializers import glorot_uniform,he_uniform
210
211 from tensorflow.keras.layers import Layer
212 from keras.regularizers import l2
213 from keras import backend as K
214 from tensorflow.keras.utils import normalize
215 from keras.utils.vis_utils import plot_model
216 import keras
217
218 from sklearn.metrics import roc_curve,roc_auc_score
219
220 from keras.applications.vgg16 import VGG16
221 os.environ["CUDA_DEVICE_ORDER"] = "PCI_BUS_ID"
222 os.environ["CUDA_VISIBLE_DEVICES"] = "0"
223
224 """ unitivity functions """
225 import cv2 as cv
226 import matplotlib.pyplot as plt
227 import numpy as np
228 import os
229
230 def read_image(path):
231     """ function to read single image at the given path
232         note: the loaded image is in B G R format
233     """
234     return cv.imread(path)
235
236
237 def BGR2RGB(image):
238     """ function to transform image from BGR into RGB format """
239     return cv.cvtColor(image, cv.COLOR_BGR2RGB)
240
241
242 def BGR2Gray(image):
243     """ function to transofrm image from BGR into Gray format """
```

```
244     return cv.cvtColor(image, cv.COLOR_BGR2GRAY)
245
246
247 def show_image(image, img_format='RGB', figsize=(8, 6)):
248     """ function to show image """
249     if img_format == 'RGB' or img_format == 'Gray':
250         pass
251     elif img_format == 'BGR':
252         image = BGR2RGB(image)
253     else:
254         raise ValueError('format should be "RGB", "BGR" or "Gray"')
255
256     fig, ax = plt.subplots(figsize=figsize)
257     if format == 'Gray':
258         ax.imshow(image, format='gray')
259     else:
260         ax.imshow(image)
261     return fig
262
263
264 def detect_finger(image, face):
265     """ function to denote location of finger on image """
266     img = image.copy()
267     for (x, y, w, h) in face:
268         cv.rectangle(img, (x, y), (x + w, y + h), (255, 0, 0), 2)
269
270     return img
271
272
273 def crop_finger(image, face, scale_factor=1.0, target_size=(128, 128)):
274     """ crop finger at the given positons and resize to target size """
275     rows, columns, channels = image.shape
276     x, y, w, h = face[0]
277     mid_x = x + w // 2
278     mid_y = y + h // 2
279
280     # calculate the new vertices
```

```
281 x_new = mid_x - int(w // 2 * scale_factor)
282 y_new = mid_y - int(h // 2 * scale_factor)
283 w_new = int(w * scale_factor)
284 h_new = int(h * scale_factor)
285
286 # validate the new vertices
287 left_x = max(0, x_new)
288 left_y = max(0, y_new)
289 right_x = min(columns, x_new + w_new)
290 right_y = min(rows, y_new + h_new)
291
292 # crop and resize the facial area
293 cropped = image[left_y:right_y, left_x:right_x, :]
294 resized = cv.resize(cropped, dsize=target_size, interpolation=cv.
    INTER_LINEAR)
295
296 return resized
297
298 def load_images_from_folder(folder):
299     images = []
300     for filename in os.listdir(folder):
301         img = cv.imread(os.path.join(folder,filename))
302         if img is not None:
303             images.append(img)
304     images = np.array(images)
305     return images
306
307 def img_to_encoding(image_path, model):
308     img1 = cv.imread(image_path, 1)
309     img1 = cv.resize(img1, (600,600))
310     img = img1[...,:-1]
311     img = np.around(img/255.0, decimals=12)
312     #img = np.around(img/255.0)
313     x_train = np.array([img])
314     embedding = model.predict_on_batch(x_train)
315     return embedding
316
```

```
317 def get_data(path):
318     data = {}
319     for files in os.listdir(path):
320         keys,_ = files.split('_')
321         if keys in data:
322             data[keys].append(files)
323         else:
324             data[keys] = [files]
325     return data
326
327 def train_test_split(data, ratio = 0.2):
328     train = {}
329     test = {}
330     for key in data.keys():
331         vals = data[key]
332         split = int(len(vals)*ratio)
333         train[key] = vals[split:]
334         test[key] = vals[:split]
335     return train,test
336
337 def get_data_label(path,ratio = 0.2):
338     """
339     Given path returns tran and test images and label associated with it
340     """
341     _data = get_data(path)
342     _train,_test = train_test_split(_data, ratio = ratio)
343
344     train_image = []
345     train_labels = []
346     test_image = []
347     test_labels = []
348     for keys, vals in _train.items():
349         train_image += [np.asarray([cv.imread(os.path.join(path,files))/255.
350             \
351                 for files in vals])]
352         train_labels += [keys]
```

```

353     for keys, vals in _test.items():
354         test_image += [np.asarray([cv.imread(os.path.join(path,files))/255. \
355                                 for files in vals])]
356         test_labels += [keys]
357     return np.asarray(train_image), np.asarray(train_labels), \
358 np.asarray(test_image), np.asarray(np.asarray(test_labels)),_test
359
360 def DrawPics(tensor,nb=0,template='{}',classnumber=None):
361     if (nb==0):
362         N = tensor.shape[0]
363     else:
364         N = min(nb,tensor.shape[0])
365     fig=plt.figure(figsize=(16,2))
366     nbligne = floor(N/20)+1
367     for m in range(N):
368         subplot = fig.add_subplot(nbligne,min(N,20),m+1)
369         axis("off")
370         plt.imshow(tensor[m,:,:],vmin=0, vmax=1,cmap='Greys')
371         if (classnumber!=None):
372             subplot.title.set_text((template.format(classnumber)))
373
374 excluded_list = fold[10]
375 # excluded_list = []
376 import itertools
377 imgNames = []
378 imgList = {}
379 nb_classes = 124
380 for p in ["a", "b", "c", "d"]:
381     for i in range(1,nb_classes+1):
382         if i in excluded_list:
383             continue
384         name = f"{i}_{p}"
385         imgNames.append(name)
386         imgList[name] = cv.imread(f"img_digitize/{name}.png",cv.IMREAD_GRAYSCALE)
387
388 c = 0
389

```

```

390 img_width=600
391
392 for phone in ["a", "b", "c", "d"]:
393     for i in range(1, nb_classes+1):
394
395         if i in excluded_list: continue
396         name = f"{i}_{p}"
397         im = imgList[name]
398         # try:
399         im = cv.resize(im, (img_width,img_width), interpolation = cv.INTER_AREA)
400         cv.imwrite(f"DB1_A/{i}_{phone}.png", im)
401         c += 1
402         # except: excluded_list.append(i)
403 nb_classes -= len(excluded_list)
404 imgList = {}
405 print("total img data: ", c)
406
407 datapath = './DB1_A/'
408 x_train,y_train,x_test,y_test,testfiles = get_data_label(datapath,ratio =
409     0.0)
409 x_train = np.asarray(x_train)
410 type(x_train[0])
411
412 class TripletLossLayer(Layer):
413     def __init__(self, alpha, **kwargs):
414         self.alpha = alpha
415         super(TripletLossLayer, self).__init__(**kwargs)
416
417     def triplet_loss(self, inputs):
418         anchor, positive, negative = inputs
419         p_dist = K.sum(K.square(anchor-positive), axis=-1)
420         n_dist = K.sum(K.square(anchor-negative), axis=-1)
421         return K.sum(K.maximum(p_dist - n_dist + self.alpha, 0), axis=0)
422
423     def call(self, inputs):
424         loss = self.triplet_loss(inputs)
425         self.add_loss(loss)

```



```

426     return loss
427
428 def build_model(input_shape, network, margin=0.2):
429     '''
430     Define the Keras Model for training
431     Input :
432         input_shape : shape of input images
433         network : Neural network to train outputting embeddings
434         margin : minimal distance between Anchor-Positive and Anchor-
435                 Negative for the lossfunction (alpha)
436     '''
437     # Define the tensors for the three input images
438     anchor_input = Input(input_shape, name="anchor_input")
439     positive_input = Input(input_shape, name="positive_input")
440     negative_input = Input(input_shape, name="negative_input")
441
442     # Generate the encodings (feature vectors) for the three images
443     encoded_a = network(anchor_input)
444     encoded_p = network(positive_input)
445     encoded_n = network(negative_input)
446
447     #TripletLoss Layer
448     loss_layer = TripletLossLayer(alpha=margin,name='triplet_loss_layer')([
449         encoded_a,encoded_p,encoded_n])
450
451     # Connect the inputs with the outputs
452     network_train = Model(inputs=[anchor_input,positive_input,negative_input
453         ],outputs=loss_layer)
454
455     # return the model
456     return network_train
457
458 def fingerRecoModel(input_shape, embeddingsize):
459     X_input = Input(input_shape)
460     # base = keras.applications.inception_resnet_v2.InceptionResNetV2(weights

```

```

    = 'imagenet', input_tensor = X_input, input_shape = input_shape,
    include_top=False)
460 # base=keras.applications.mobilenet_v2.MobileNetV2(weights='imagenet',
    input_tensor = X_input, input_shape = input_shape, include_top=False)
461 base=VGG16(weights='imagenet', input_tensor = X_input, input_shape =
    input_shape, include_top=False)
462 #imports the VGG16 model and discards the last 1000 neuron layer.
463 X=base.output
464 X=GlobalAveragePooling2D()(X)
465 # X=Dense(1024,activation='relu')(X) #we add dense layers so that the
    model can learn more complex functions and classify for better
    results.
466 X=Dense(512,activation='relu')(X) #dense layer 2
467 X=Dense(256,activation='relu')(X) #dense layer 3
468 X=Dense(embeddingsize, name = 'dense_layer')(X)
469
470 # L2 normalization
471 X = Lambda(lambda x: K.l2_normalize(x,axis=1))(X)
472
473 # Create model instance
474 model = Model(inputs = X_input, outputs = X, name='FingerRecoModel')
475
476 return model
477
478 input_shape=(img_width, img_width, 3)
479 FRmodel = fingerRecoModel(input_shape=(img_width, img_width, 3),
    embeddingsize =128)
480 network_train = build_model(input_shape,FRmodel)
481 # optimizer = Adam(lr = 0.00006, beta_1=0.9, beta_2=0.999, epsilon=1e-08,
    decay=0.0)
482 optimizer = Adam(learning_rate = 0.00006, beta_1=0.9, beta_2=0.999, epsilon
    =1e-08)
483 network_train.compile(loss=None,optimizer=optimizer)
484 network_train.summary()
485 plot_model(network_train,show_shapes=True, show_layer_names=True, to_file='
    02 model.png')
486 print(network_train.metrics_names)

```

```

487 n_iteration=0
488
489 def get_batch_random(batch_size,s="train"):
490     """
491     Create batch of APN triplets with a complete random strategy
492
493     Arguments:
494     batch_size -- integer
495
496     Returns:
497     triplets -- list containing 3 tensors A,P,N of shape (batch_size,w,h,c)
498     """
499     if s == 'train':
500         X = x_train
501     else:
502         X = x_test
503
504     m, w, h,c = X[0].shape
505
506
507     # initialize result
508     triplets=[np.zeros((batch_size,h, w,c)) for i in range(3)]
509
510     for i in range(batch_size):
511         #Pick one random class for anchor
512         anchor_class = np.random.randint(0, nb_classes)
513         nb_sample_available_for_class_AP = X[anchor_class].shape[0]
514
515         #Pick two different random pics for this class => A and P
516         [idx_A,idx_P] = np.random.choice(nb_sample_available_for_class_AP,
517                                         size=2,replace=False)
518
519         #Pick another class for N, different from anchor_class
520         negative_class = (anchor_class + np.random.randint(1,nb_classes)) %
521             nb_classes
522         nb_sample_available_for_class_N = X[negative_class].shape[0]

```

```

522     #Pick a random pic for this negative class => N
523     idx_N = np.random.randint(0, nb_sample_available_for_class_N)
524
525     triplets[0][i,:,:,:] = X[anchor_class][idx_A,:,:,:]
526     triplets[1][i,:,:,:] = X[anchor_class][idx_P,:,:,:]
527     triplets[2][i,:,:,:] = X[negative_class][idx_N,:,:,:]
528
529     return triplets
530
531 def drawTriplets(tripletbatch, nbmax=None):
532     """display the three images for each triplets in the batch
533     """
534     labels = ["Anchor", "Positive", "Negative"]
535
536     if (nbmax==None):
537         nbrows = tripletbatch[0].shape[0]
538     else:
539         nbrows = min(nbmax,tripletbatch[0].shape[0])
540
541     for row in range(nbrows):
542         fig=plt.figure(figsize=(16,2))
543
544         for i in range(3):
545             subplot = fig.add_subplot(1,3,i+1)
546             axis("off")
547             plt.imshow(tripletbatch[i][row,:,:,:],vmin=0, vmax=1,cmap='Greys')
548             subplot.title.set_text(labels[i])
549 def compute_dist(a,b):
550     return np.sum(np.square(a-b))
551
552 def get_batch_hard(draw_batch_size,hard_batches_size,norm_batches_size,network
553     ,s="train"):
554     """
555     Create batch of APN "hard" triplets
556
557     Arguments:
558     draw_batch_size -- integer : number of initial randomly taken samples

```

```

558     hard_batches_size -- interger : select the number of hardest samples to
        keep
559     norm_batches_size -- interger : number of random samples to add
560
561     Returns:
562     triplets -- list containing 3 tensors A,P,N of shape (hard_batches_size+
        norm_batches_size,w,h,c)
563     """
564     if s == 'train':
565         X = x_train
566     else:
567         X = x_test
568
569     m, w, h, c = X[0].shape
570
571
572     #Step 1 : pick a random batch to study
573     studybatch = get_batch_random(draw_batch_size,s)
574
575     #Step 2 : compute the loss with current network : d(A,P)-d(A,N). The
        alpha parameter here is omitted here since we want only to order them
576     studybatchloss = np.zeros((draw_batch_size))
577
578     #Compute embeddings for anchors, positive and negatives
579     A = network.predict(studybatch[0])
580     P = network.predict(studybatch[1])
581     N = network.predict(studybatch[2])
582
583     #Compute d(A,P)-d(A,N)
584     studybatchloss = np.sum(np.square(A-P),axis=1) - np.sum(np.square(A-N),
        axis=1)
585
586     #Sort by distance (high distance first) and take the
587     selection = np.argsort(studybatchloss)[::-1][:hard_batches_size]
588
589     #Draw other random samples from the batch
590     selection2 = np.random.choice(np.delete(np.arange(draw_batch_size),

```

```

        selection),norm_batches_size,replace=False)
591
592 selection = np.append(selection,selection2)
593
594 triplets = [studybatch[0][selection,:,::], studybatch[1][selection
        ,,:,::], studybatch[2][selection,:,::]]
595
596 return triplets
597
598 def compute_probs(network,X,Y):
599     '''
600     Input
601         network : current NN to compute embeddings
602         X : tensor of shape (m,w,h,3) containing pics to evaluate
603         Y : tensor of shape (m,) containing true class
604
605     Returns
606         probs : array of shape (m,m) containing distances
607
608     '''
609     m = X.shape[0]
610     nbevaluation = int(m*(m-1)/2)
611     probs = np.zeros((nbevaluation))
612     y = np.zeros((nbevaluation))
613
614     #Compute all embeddings for all pics with current network
615     embeddings = network.predict(X)
616
617     size_embedding = embeddings.shape[1]
618
619     #For each pics of our dataset
620     k = 0
621     for i in range(m):
622         #Against all other images
623         for j in range(i+1,m):
624             #compute the probability of being the right decision : it
                should be 1 for right class, 0 for all other classes

```

```

625         probs[k] = -compute_dist(embeddings[i,:],embeddings[j,:])
626         if (Y[i]==Y[j]):
627             y[k] = 1
628             #print("{3}:{0} vs {1} : {2}\tSAME".format(i,j,probs[k],k))
629         else:
630             y[k] = 0
631             #print("{3}:{0} vs {1} : \t\t\t{2}\tDIFF".format(i,j,probs[
632                 k],k))
632         k += 1
633     return probs,y
634 #probs,yprobs = compute_probs(network,x_test_origin[:10,:,:,:],y_test_origin
635     [:10])
636 def compute_metrics(probs,yprobs):
637     '''
638     Returns
639         fpr : Increasing false positive rates such that element i is the
640             false positive rate of predictions with score >= thresholds[i]
641         tpr : Increasing true positive rates such that element i is the true
642             positive rate of predictions with score >= thresholds[i].
643         thresholds : Decreasing thresholds on the decision function used to
644             compute fpr and tpr. thresholds[0] represents no instances being
645             predicted and is arbitrarily set to max(y_score) + 1
646         auc : Area Under the ROC Curve metric
647     '''
648     # calculate AUC
649     auc = roc_auc_score(yprobs, probs)
650     # calculate roc curve
651     fpr, tpr, thresholds = roc_curve(yprobs, probs)
652
653     return fpr, tpr, thresholds,auc
654 def compute_interdist(network):
655     '''
656     Computes sum of distances between all classes embeddings on our reference
657         test image:
658         d(0,1) + d(0,2) + ... + d(0,9) + d(1,2) + d(1,3) + ... d(8,9)

```



```

655     A good model should have a large distance between all theses
        embeddings
656
657 Returns:
658     array of shape (nb_classes,nb_classes)
659     '''
660     res = np.zeros((nb_classes,nb_classes))
661
662     ref_images = np.zeros((nb_classes,img_rows,img_cols,1))
663
664     #generates embeddings for reference images
665     for i in range(nb_classes):
666         ref_images[i,:,:,:] = dataset_test[i][0,:,:,:]
667     ref_embeddings = network.predict(ref_images)
668
669     for i in range(nb_classes):
670         for j in range(nb_classes):
671             res[i,j] = dist(ref_embeddings[i],ref_embeddings[j])
672     return res
673
674 def draw_interdist(network,n_iteration):
675     interdist = compute_interdist(network)
676
677     data = []
678     for i in range(nb_classes):
679         data.append(np.delete(interdist[i,:],[i]))
680
681     fig, ax = plt.subplots()
682     ax.set_title('Evaluating embeddings distance from each other after {0}
        iterations'.format(n_iteration))
683     ax.set_ylim([0,3])
684     plt.xlabel('Classes')
685     plt.ylabel('Distance')
686     ax.boxplot(data,showfliers=False,showbox=True)
687     locs, labels = plt.xticks()
688     plt.xticks(locs,np.arange(nb_classes))
689

```

```

690 plt.show()
691
692 def find_nearest(array,value):
693     idx = np.searchsorted(array, value, side="left")
694     if idx > 0 and (idx == len(array) or math.fabs(value - array[idx-1]) <
695         math.fabs(value - array[idx])):
696         return array[idx-1],idx-1
697     else:
698         return array[idx],idx
699
700 def draw_roc(fpr, tpr,thresholds):
701     #find threshold
702     targetfpr=1e-3
703     _, idx = find_nearest(fpr,targetfpr)
704     threshold = thresholds[idx]
705     recall = tpr[idx]
706
707     # plot no skill
708     plt.plot([0, 1], [0, 1], linestyle='--')
709     # plot the roc curve for the model
710     plt.plot(fpr, tpr, marker='.')
711     plt.title('AUC: {0:.3f}\nSensitivity : {2:.1%} @FPR={1:.0e}\nThreshold
712         = {3}') .format(auc,targetfpr,recall,abs(threshold) ))
713     # show the plot
714     plt.show()
715
716 def DrawTestImage(network, images, refidx=0):
717     '''
718     Evaluate some pictures vs some samples in the test set
719     image must be of shape(1,w,h,c)
720
721     Returns
722     scores: resultat des scores de similarites avec les images de base => N
723
724     '''
725     N=4

```

```

725 _, w,h,c = x_test[0].shape
726 nbimages=images.shape[0]
727
728 #generates embeddings for given images
729 image_embeddings = network.predict(images)
730
731 #generates embeddings for reference images
732 ref_images = np.zeros((nb_classes,w,h,c))
733 for i in range(nb_classes):
734     ref_images[i,:,:,:] = x_test[i][refidx,:,:,:]
735 ref_embeddings = network.predict(ref_images)
736
737 for i in range(nbimages):
738     #Prepare the figure
739     fig=plt.figure(figsize=(16,2))
740     subplot = fig.add_subplot(1,nb_classes+1,1)
741     axis("off")
742     plotidx = 2
743
744     #Draw this image
745     plt.imshow(images[i,:,:,:0],vmin=0, vmax=1,cmap='Greys')
746     subplot.title.set_text("Test image")
747
748     for ref in range(nb_classes):
749         #Compute distance between this images and references
750         dist = compute_dist(image_embeddings[i,:],ref_embeddings[ref,:])
751         #Draw
752         subplot = fig.add_subplot(1,nb_classes+1,plotidx)
753         axis("off")
754         plt.imshow(ref_images[ref,:,:,:0],vmin=0, vmax=1,cmap='Greys')
755         subplot.title.set_text(("Class {0}\n{1:.3e}".format(y_test[ref],
756             dist)))
756         plotidx += 1
757
758 # Hyper parameters
759 evaluate_every = 10 # interval for evaluating on one-shot tasks
760 batch_size = 24

```

```

761 hard_batch_size=10
762 rand_batch_size=2
763 n_iter = 800 # No. of training iterations previous 300
764 #n_val = 250 # how many one-shot tasks to validate on
765
766 print("Starting training process!")
767 print("-----")
768 t_start = time.time()
769 for i in range(1, n_iter+1):
770     triplets = get_batch_hard(batch_size,hard_batch_size,rand_batch_size,
771                               FRmodel)
772     loss = network_train.train_on_batch(triplets, None)
773     n_iteration += 1
774     if i % evaluate_every == 0:
775         print("\n ----- \n")
776         print("[{3}] Time for {0} iterations: {1:.1f} mins, Train Loss: {2}".
777               format(i, (time.time()-t_start)/60.0,loss,n_iteration))
778
779 network_train.save_weights("/content/drive/MyDrive/MINE_MELON/src/3Triplet/
780                             fold10_10.h5")
781
782 trained_weights = []
783 import os
784 path = list(os.walk("/content/drive/MyDrive/MINE_MELON/src/3Triplet/"))[0]
785 for file in path[2]:
786     if ".h5" in file:
787         trained_weights.append(os.path.join(path[0],file))
788
789 trained_weights
790
791 from sklearn.preprocessing import StandardScaler
792
793 import random
794 from sklearn.decomposition import PCA
795 import matplotlib.cm as cm
796 import io
797
798 db = []

```

```

795 s = 12
796 st = 57
797 target = []
798 randomSample = random.sample(excluded_list, len(excluded_list));
799 # randomSample = random.sample(range(st, st+s), s);
800 for p in ['a', 'b', 'c', 'd']:
801     for i in randomSample:
802         try:
803             name = f"{i}_{p}"
804             im = cv.imread(f"img_digitize/{name}.png")
805             im = cv.resize(im, (600,600), interpolation = cv.INTER_AREA)
806             db.append(im)
807             target.append(i)
808         except: continue
809
810 dbe = FRmodel.predict(np.array(db))
811 #save projector.tensorflow
812 np.savetxt("vecs.tsv", dbe, delimiter='\t')
813 out_m = io.open('meta.tsv', 'w', encoding='utf-8')
814 for i in target:
815     out_m.write(str(i) + "\n")
816 out_m.close()
817
818 pca = PCA(n_components=2)
819 xs = StandardScaler().fit_transform(dbe)
820 pc = pca.fit_transform(xs)
821 colors = cm.rainbow(np.linspace(0, 1, s))
822 fig = figure(figsize=(8, 6), dpi=80)
823 # ax = fig.add_subplot(projection='3d')
824 ax = fig.add_subplot()
825
826 for i in range(len(dbe)):
827     # ax.scatter(pc[i][0], pc[i][1], pc[i][2], color=colors[i % s])
828     ax.scatter(pc[i][0], pc[i][1], color=colors[(i) % s])
829 box = ax.get_position()
830 ax.set_position([box.x0, box.y0, box.width * 0.95, box.height])
831 ax.set_xlabel("1st pca", fontsize=14)

```

```

832 ax.set_ylabel("2nd pca", fontsize=14)
833 # ax.set_zlabel("3rd pca", fontsize=14)
834
835 # Put a legend to the right of the current axis
836 # ax.legend(range(st,st+s),loc='center left', bbox_to_anchor=(1, 0.5))
837 ax.legend(excluded_list,loc='center left', bbox_to_anchor=(1, 0.5))
838
839 plt.show()
840
841
842 from sklearn.manifold import TSNE
843 import random
844 tsne = TSNE(n_components=2, verbose=1, random_state=0,perplexity=5,n_iter
      =1000)
845 pc = tsne.fit_transform(dbe)
846 colors = list(cm.rainbow(np.linspace(0, 1, s)))
847 # random.shuffle(colors)
848 fig = figure(figsize=(8, 6), dpi=80)
849 ax = fig.add_subplot()
850 # ax = fig.add_subplot(projection='3d')
851 mean = np.mean(pc)
852 standard_deviation = np.std(pc)
853
854 distance_from_mean = abs(pc - mean)
855 max_deviations = 2
856 for i in range(len(dbe)):
857     distance_from_mean = abs(pc[i] - mean)
858     # remove outlier
859     if np.any(distance_from_mean > max_deviations * standard_deviation):
860         continue
861     # ax.scatter(pc[i][0], pc[i][1], pc[i][2], color=colors[i % s])
862     ax.scatter(pc[i][0], pc[i][1], color=colors[i % s])#<<<<
863     # ax.scatter(pc[i][0], pc[i][1], color=colors[10])
864
865 # ax.legend( range(st,st+s),loc='center left', bbox_to_anchor=(1, 0.5))
866 ax.legend(excluded_list,loc='center left', bbox_to_anchor=(1, 0.5))
867 ax.set_xlabel("1st TSNE", fontsize=14)

```

```
868 ax.set_ylabel("2nd TSNE", fontsize=14)
869 # ax.set_zlabel("3rd TSNE", fontsize=14)
870 plt.show()
871
872 # use excluded_list as database
873 database = {}
874 for i in excluded_list:
875     database[i] = img_to_encoding(f"img_digitize/{i}_b.png", FRmodel)
876
877 res = {}
878 c = 0
879 FR = 0
880 CA = 0
881 FA = 0
882 IDE = 0
883 for p in ['a', 'c', 'd']:
884     # for i in range(Start, Stop+1):
885     for i in excluded_list:
886         match = False
887         name = f"{i}_{p}"
888         test_dir = f"img_digitize/{name}.png"
889         encoding = img_to_encoding(test_dir, FRmodel)
890         temp_dist = 10
891         # for j in range(Start, Stop+1):
892         for j in excluded_list:
893             dist = np.linalg.norm(encoding-database[j])
894             c += 1
895             if dist <= temp_dist:
896                 temp_dist = dist
897                 pre_iden = j
898             if temp_dist > 0.4:
899                 FR +=1
900                 if pre_iden != i:
901                     IDE +=1
902             else:
903                 match = True
904         elif temp_dist <= 0.4 and pre_iden == i:
```



```

905     match = True
906     CA +=1
907     elif temp_dist <= 0.4 and pre_iden != i:
908         IDE +=1
909         FA +=1
910         # print(f"{i}_{p}: {match},{pre_iden},{temp_dist}")
911         res[f"{i}_{p}"] = [match,pre_iden,temp_dist]
912 print(c)
913
914 import pandas as pd
915 df=pd.DataFrame.from_dict(res).T
916 TP = len(df.loc[df[0]==True])
917 FP = len(df.loc[df[0]==False])
918 FN = FP
919 TN = c - (TP+FP+FN)
920 # print(f'FP: {FP}, FN: {FN}, TP: {TP}, TN: {TN}, Total: {c}')
921 acc = (TP + TN)/(TP+FP+TN+FN)*100
922 pre = TP/(TP+FP)*100
923 rec = TP/(TP+FN)*100
924 # print(f'Accuracy: %.2f%% Precision: %.2f%% Recall: %.2f%% ' %(acc,pre,rec)
925     )
926 FAR = (FP/(c))*100
927 FRR = (FN/(c))*100
928
929 # print("FAR = %.3f%% FRR = %.3f%%" %(FAR,FRR))
930
931 CR = c-(FR+CA+FA)
932 print(f"Total Checks = {(CR+CA+FR+FA)}")
933 print(f"Correct Acceptance = {CA} Correct Rejection = {CR}")
934 print(f"False Acceptance = {FA} False Rejection = {FR}")
935 print("FAR = %.2f%% FRR = %.2f%%" %((FA/c)*100,(FR/c)*100))
936 print(f"Top-Rank ID Error = %.2f%%" %((IDE/c)*100))

```